

I sotopy and E quivalence of Knots
in 3-manifolds

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A knot is a (tame) embedding $K: S^1 \hookrightarrow M^3$

Two knots K, J in M are

1. Equivalent: \exists o.p. homeo $h: M \rightarrow M$ s.t. $h(K) = J$

2. Isotopic: \exists 1-parameter family of embeddings $f_t: S^1 \times [0,1] \rightarrow M$ s.t. $f_0 = K$ and $f_1 = J$

3. Ambient Isotopic: \exists 1-parameter family of homeos $h_t: M \times [0,1] \rightarrow M$ s.t. $h_0 = \text{Id}$ and $h_1(K) = J$.

③ \Rightarrow ②, ①

① \Rightarrow ③ ?

② \Rightarrow ③ (Isotopy extension)

Thm (Fisher, '60) $\text{Homeo}^+(S^3)$ is path-connected

①, ②, ③ equivalent for S^3

Def: $\text{Mod}^+(M) = \pi_0(\text{Homeo}^+(M)) = \frac{\text{Homeo}^+(M)}{\text{isotopy}}$

Mapping class group of M

Q: Does equivalence imply isotopy in general M ?

A: No!

$\left\{ \begin{array}{l} \text{Free homotopy} \\ \text{classes of loops} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{Conjugacy classes} \\ \text{in } \pi_1(M) \end{array} \right\}$

\Rightarrow If $h: M \rightarrow M$ acts nontrivially on conjugacy classes (e.g. on H_1), then K and $h(K)$ may not be ambient isotopic.

Thm 1 (ABDPR) Suppose M is a prime, orientable, closed 3-mfd and $h \in \text{Homeo}^+(M)$ fixes every isotopy class of knot in M . Then h is isotopic to the identity.

$\text{Homeo}^+(M)$ "sees" knot isotopy classes.

Corollary: M prime, orientable, closed.

Then Isotopy \Leftrightarrow Equivalence iff $\text{Mod}^+(M) = \{1\}$

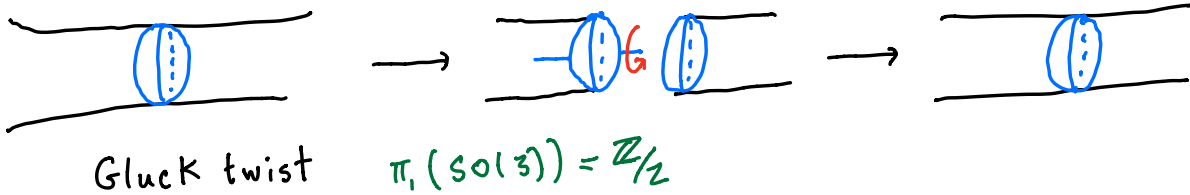
M prime \rightarrow M irreducible, "every isotopy" \leftrightarrow "every homotopy"
 $\rightarrow M = S^1 \times S^2$, need "every isotopy"

$$M = S^1 \times S^2$$

$$1 \rightarrow \mathbb{Z}/2 \rightarrow \text{Mod}^+(S^1 \times S^2) \rightarrow \mathbb{Z}/2 \rightarrow 1$$

$\mathbb{Z}/2 \oplus \mathbb{Z}/2$
 \uparrow reverse or. on both factors
 $1 \mapsto -1 \in \pi_1(S^1 \times S^2) = \mathbb{Z}$

Gluck twist \mathcal{G}



Thm 2 (ABDPR) For every winding number $w \neq 0 \in \mathbb{Z}$, $\exists K$ with winding number w s.t. $\mathcal{G}(K) \not\cong K$. If w is odd, $\mathcal{G}(K) \cong K \Rightarrow K \cong S^1 \times \{*\}$

\Rightarrow Thm 1 when $M = S^1 \times S^2$

$$M \text{ irreducible} \quad \text{Mod}^+(M) \hookrightarrow \text{Out}(\pi_1(M)) = \frac{\text{Aut}(\pi_1)}{\text{Inn}} \quad (\text{Many people...})$$

We show if $h: M \rightarrow M$ acts trivially on conjugacy classes then $h_* = 1 \in \text{Out}(\pi_1) \Rightarrow h \cong \text{Id}$.

Def (Grossman '75) A group G has Property A if every conjugacy class preserving automorphism is inner.

e.g. Abelian groups have Prop. A.

Thm 3 (ABDPR) Every orientable 3-mfd group has Property A.

\Rightarrow Thm 1 when M irreducible

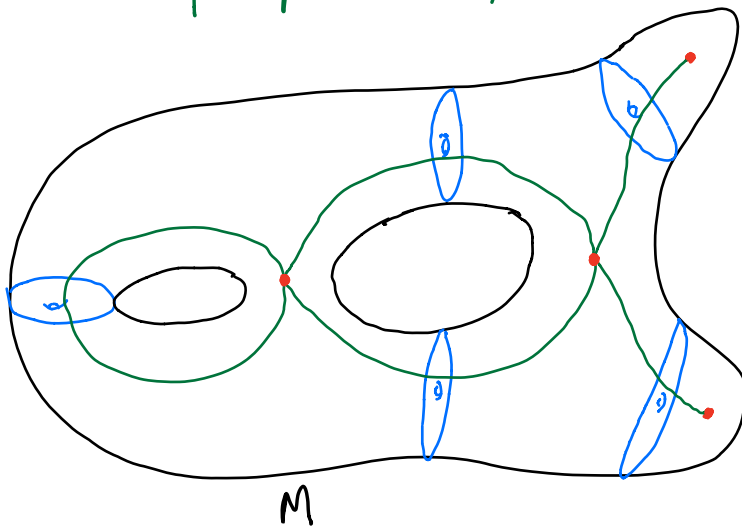
Idea of Proof: Use the Prime and JSJ-decomposition

- ① Free products (Neshchadim '96)
⇒ Non-prime 3-manifolds have Prop. A
- ② Hyperbolic + relatively hyperbolic (Minasyan-Osin '10)
⇒ hyperbolic JSJ-components have Prop. A.
- ③ Most Seifert fibered 3-mfd groups with or without bdy
(Allenby-Kim-Tang, '05, '09) All except $S^2(p, q, r)$ and $T^2(p)$.
We finished remaining cases.

• If M non-prime or has trivial JSJ-decomposition ✓

• Else: M is Haken.

Waldhausen ⇒ Any $\varphi \in \text{Out}(\pi_1)$ repped by homeo $h: M \rightarrow M$
unique up to isotopy.



h class-preserving

• h preserves JSJ-decomposition

• Restriction to each piece class-preserving

Grossman ('75) introduced Property A and showed:

- Prop A + Conjugacy separable \Rightarrow $\text{Out}(G)$ is residually finite.

- Surface groups, free groups have Prop. A.

$\Rightarrow \text{Mod}(S_g), \text{Out}(F_n)$ are residually finite.

unknown \uparrow

not linear, $n \geq 3$ \uparrow

Q: Are all 3-mfd groups conjugacy separable?