

## Exercises 4

(1) Show that if the second fundamental form of a surface  $r: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  is identically 0, then the surface is a plane.

A surface is a plane if and only if it has a constant normal vector.

$$\begin{aligned} \text{Now, we have} \quad e &= r_{uu} \cdot n \equiv 0 &= -r_u \cdot n_u \\ f &= r_{uv} \cdot n \equiv 0 &= -r_u \cdot n_v = -r_v \cdot n_u \\ g &= r_{vv} \cdot n \equiv 0 &= -r_v \cdot n_v \end{aligned}$$

$r_u$ ,  $r_v$  and  $r_{uv}$  are tangent vectors.

We further have  $n \cdot n \equiv 1 \Rightarrow n \cdot n_u, n \cdot n_v \equiv 0$ .

So  $n_u, n_v$  are always tangent vectors.

$$\begin{aligned} \text{Thus: } n_u &= (n_u \cdot r_u) r_u + (n_u \cdot r_v) r_v \\ &= 0 \\ n_v &= (n_v \cdot r_u) r_u + (n_v \cdot r_v) r_v \\ &= 0 \end{aligned}$$

$$\begin{aligned} n_u, n_v \equiv 0 &\Rightarrow n \text{ is constant} \\ &\Rightarrow r \text{ must be a plane.} \end{aligned}$$

(2) Show that the Gauss curvature of the graph  $(u,v) \mapsto (u,v, F(u,v))$  is given by:

$$K = \frac{f_{uu} f_{vv} - (f_{uv})^2}{(1 + (f_u)^2 + (f_v)^2)^2}$$

We use the fact that  $K = \kappa_1 \kappa_2$  where  $\kappa_1, \kappa_2$  are the principal curvatures [the eigenvalues of the shape operator].

As a linear map, the shape operator is given by

$$S_p = \begin{pmatrix} e & F \\ F & G \end{pmatrix} \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1}$$

The determinant of a matrix is invariant under conjugation (in this case changing the basis to the basis of eigenvectors), so we have:

$$\left| \begin{pmatrix} e & F \\ F & G \end{pmatrix} \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \right| = \kappa_1 \kappa_2$$

i.e.  $K = \frac{eg - F^2}{EG - F^2}$

Now:  $r_u = (1, 0, f_u)$   
 $r_v = (0, 1, f_v)$   
 $r_u \times r_v = (-f_u, -f_v, 1)$   
 $\hat{n} = \frac{(-f_u, -f_v, 1)}{\sqrt{1 + f_u^2 + f_v^2}}$

$\Rightarrow E = 1 + f_u^2 \quad F = f_u f_v \quad G = 1 + f_v^2$   
 $\Rightarrow EG - F^2 = \|r_u \times r_v\|^2 = f_u^2 + f_v^2 + 1$

$r_{uu} = (0, 0, f_{uu})$   
 $r_{uv} = (0, 0, f_{uv})$   
 $r_{vv} = (0, 0, f_{vv})$

$\Rightarrow e = \frac{f_{uu}}{\sqrt{1 + f_u^2 + f_v^2}} \quad F = \frac{f_{uv}}{\sqrt{1 + f_u^2 + f_v^2}} \quad g = \frac{f_{vv}}{\sqrt{1 + f_u^2 + f_v^2}}$

$$\text{So: } \kappa = \frac{f_{uu}f_{vv} - f_{uv}^2}{1 + f_u^2 + f_v^2} \Big/ \frac{f_u^2 + f_v^2 + 1}{1 + f_u^2 + f_v^2}$$

$$= \frac{f_{uu}f_{vv} - f_{uv}^2}{(1 + f_u^2 + f_v^2)^2}$$

(3) If  $\kappa$  is the Gauss curvature of the surface  $r: U \rightarrow \mathbb{R}^3$ ,  
 show that:  $n_u \times n_v = \kappa (r_u \times r_v)$

We use the identity  $(a \times b) \cdot (c \times d) = (a \cdot c)(b \cdot d) - (b \cdot c)(a \cdot d)$

$$n \text{ is given by } \frac{r_u \times r_v}{\|r_u \times r_v\|}$$

$$\begin{aligned} \text{and we note that: } e &= n \cdot r_{uu} = -n_u \cdot r_u \\ f &= n \cdot r_{uv} = -n_u \cdot r_v = -n_v \cdot r_u \\ g &= n \cdot r_{vv} = -n_v \cdot r_v \end{aligned}$$

Now, it is clear that  $n_u \times n_v$  and  $r_u \times r_v$  are linearly independent - the tangent plane is the span of  $\begin{cases} n_u, n_v \\ r_u, r_v \end{cases}$ .

Therefore we require to show that:

$$(n_u \times n_v) \cdot (r_u \times r_v) = \kappa \|r_u \times r_v\|^2$$

(By the identity above)  $(n_u \cdot r_u)(n_v \cdot r_v) - (n_v \cdot r_u)(n_u \cdot r_v) = \kappa (EG - F^2)$

$$(-e)(-g) - (-f)(-f) = \kappa (EG - F^2)$$

or that  $\kappa = \frac{eg - f^2}{EG - F^2}$  - which we know.

(4) Derive the formula for mean curvature

$$H = \frac{Ge + Eg - 2FF}{2(EG - F^2)}$$

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By definition,  $H = \frac{1}{2}(k_1 + k_2)$ , where  $k_1, k_2$  are the principal curvatures. As was observed in Q2 we note that the trace of a matrix is preserved under conjugation

$$\begin{aligned} \Rightarrow \frac{1}{2}(k_1 + k_2) &= \frac{1}{2} \text{Tr} \left( \begin{pmatrix} e & f \\ f & g \end{pmatrix} \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \right) \\ &= \frac{1}{2(EG - F^2)} \cdot (eG - FF - FF + gE) \end{aligned}$$

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(5) Let  $r: U \rightarrow \mathbb{R}^3$  be a parametrised surface, and let  $r_\epsilon$  be the normal displacement:  $r_\epsilon(u, v) = r(u, v) - \epsilon n(u, v)$ .

We assume this is a regular surface for sufficiently small  $\epsilon$ , show that:

$$\left. \frac{d}{dt} \right|_{t=0} A(r_\epsilon(u)) = 2 \int_U H dA$$

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$$\frac{d}{dt} A(r_\epsilon(u)) = \frac{d}{dt} \int_U dA_\epsilon$$

$$= \int_U \frac{d}{dt} \sqrt{E_\epsilon G_\epsilon - F_\epsilon^2} du dv$$

$$\text{Now } \frac{\partial r_\epsilon}{\partial u} = \frac{\partial r}{\partial u} - \epsilon \frac{\partial n}{\partial u} \quad \text{and} \quad \frac{\partial r_\epsilon}{\partial v} = \frac{\partial r}{\partial v} - \epsilon \frac{\partial n}{\partial v}$$

$$\text{So } E_t = E - 2t \frac{\partial r}{\partial u} \cdot \frac{\partial n}{\partial u} + t^2 \left\| \frac{\partial n}{\partial u} \right\|^2$$

$$F_t = F - 2t \frac{\partial n}{\partial u} \cdot \frac{\partial r}{\partial v} - t \frac{\partial n}{\partial v} \cdot \frac{\partial r}{\partial u} + t^2 \frac{\partial n}{\partial u} \cdot \frac{\partial n}{\partial v}$$

$$G_t = G - 2t \frac{\partial r}{\partial v} \cdot \frac{\partial n}{\partial v} + t^2 \left\| \frac{\partial n}{\partial v} \right\|^2$$

$$\text{i.e. } E_t = E + 2te + O(t^2)$$

$$F_t = F + 2tF + O(t^2)$$

$$G_t = G + 2tg + O(t^2)$$

$$\text{And so } dA_t = \sqrt{EG - F^2 + 2t(eG + gE - 2FF)} + O(t^2)$$

$$\frac{d}{dt} dA_t = \frac{eG + gE - 2FF + O(t) \, du dv}{\sqrt{EG - F^2 + 2t(eG + gE - 2FF)} + O(t^2)}$$

evaluated at  $t=0$ :

$$= \frac{eG + gE - 2FF \, du dv}{\sqrt{EG - F^2}} = \frac{eG + gE - 2FF}{EG - F^2} \sqrt{EG - F^2} \, du dv$$

$$= 2H \, dA.$$

$$\text{i.e. } \frac{d}{dt} \Big|_{t=0} A(r_t(u)) = 2 \int_u H \, dA$$

(6) Suppose  $r: U \rightarrow \mathbb{R}^3$  is a surface, and that the coordinate chart is conformal (i.e. the first fundamental form is given by:

$$\lambda(u,v) (du^2 + dv^2)$$

for some smooth  $\lambda: U \rightarrow \mathbb{R}$ )

- Show that  $r: U \rightarrow \mathbb{R}^3$  is minimal iff  $r_{uu} + r_{vv} = 0$
- Deduce that the catenoid and helicoid are minimal surfaces
- Are the intermediate surfaces in the "morph" from catenoid to helicoid described in [Exercises 3 Q2] minimal?

We have that:

$$E = \lambda = \|r_u\|^2 \quad F = 0 = r_u \cdot r_v \quad G = \lambda = \|r_v\|^2$$

$$n = \frac{r_u \times r_v}{\|r_u \times r_v\|} = \frac{r_u \times r_v}{\sqrt{EG - F^2}} = \frac{r_u \times r_v}{\lambda}$$

$$\text{so } e = r_{uu} \cdot \frac{r_u \times r_v}{\lambda}, \quad f = r_{uv} \cdot \frac{r_u \times r_v}{\lambda}, \quad g = r_{vv} \cdot \frac{r_u \times r_v}{\lambda}$$

$r$  is minimal iff  $H \equiv 0$ .

$$H = \frac{Ge + Eg - 2Ff}{2(EG - F^2)} = \frac{\lambda(e + g)}{2\lambda^2}$$

$$= \frac{e + g}{2\lambda}$$

$$= \frac{1}{2} (r_{uu} + r_{vv}) \cdot n$$

~~$r_{uu}$  and  $r_{vv}$  are~~  $r_{uu} + r_{vv}$  is not a tangent vector \*

$$\therefore H=0 \iff r_{uu} + r_{vv} = 0$$

Recall that the family  $r_\theta(u,v) = \begin{pmatrix} \cos\theta \cosh u \cos v + \sin\theta \sinh u \sin v \\ \cos\theta \cosh u \sin v - \sin\theta \sinh u \cos v \\ u \cos\theta + v \sin\theta \end{pmatrix}$

defines the Helicoid for  $\theta = \frac{\pi}{2}$

- " - Catenoid for  $\theta = 0$

and that  $\forall \theta$ ,  $E = G = \cosh^2 u$   $F = 0$ . So  $r_\theta$  is a conformal family.

$$\text{Now, } \frac{\partial^2}{\partial u^2} r_\theta = \begin{pmatrix} \cos\theta \cosh u \cos v + \sin\theta \sinh u \sin v \\ \cos\theta \cosh u \sin v - \sin\theta \sinh u \cos v \\ 0 \end{pmatrix}$$

$$\frac{\partial^2}{\partial v^2} r_\theta = \begin{pmatrix} -\cos\theta \cosh u \cos v - \sin\theta \sinh u \sin v \\ -\cos\theta \cosh u \sin v + \sin\theta \sinh u \cos v \\ 0 \end{pmatrix}$$

so it is clear to see that for each  $\theta$ ,  $r_\theta$  is a minimal surface.

(\*) If it were, then:

$$r_{uu} + r_{vv} = (r_{uu} + r_{vv}) \cdot r_u r_u + (r_{uu} + r_{vv}) \cdot r_v r_v$$

$$\text{But: } r_u \cdot r_u = r_v \cdot r_v = \lambda$$

$$\text{and } r_u \cdot r_v = 0$$

$$\Rightarrow r_{uu} \cdot r_u = r_{uv} \cdot r_v$$

$$\Rightarrow r_{uu} \cdot r_v = -r_u \cdot r_{uv}$$

$$r_{vv} \cdot r_v = r_{uv} \cdot r_u$$

$$r_{vv} \cdot r_u = -r_v \cdot r_{uv}$$

and so both coefficients are 0.