

Homology of racks and quandles^{*†}

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^{*}**N J Jackson**, *Extensions of racks and quandles*,
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[†]**N J Jackson**, *Rack and quandle homology with
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Definitions

A **rack** (or **wrack**) is a set X equipped with a binary operation (sometimes denoted $*$, \triangleleft or \triangleright , but here written as exponentiation) such that:

- (R1) For every $a, b \in X$ there is a unique $c \in X$ such that $c^b = a$. We write this unique element $a^{\bar{b}}$.
- (R2) The **rack identity** $a^{bc} = a^{cb^c}$ holds for every $a, b, c \in X$.

A **quandle** is a rack where

- (Q) $a^a = a$ for every $a \in X$.

A rack **homomorphism** is a map $f: X \rightarrow Y$ such that $f(x^y) = f(x)^{f(y)}$ for all $x, y \in X$.

Examples

1 Conjugation racks

For any group G , let $\text{Conj } G$ be the underlying set of G with rack operation $g^h := h^{-1}gh$.

2 Core racks

For any group G , let $\text{Core } G$ be the underlying set of G with rack operation $g^h := hg^{-1}h$.

3 Trivial racks

$T_n := \{0, \dots, n-1\}$ with $a^b := a$.

4 Cyclic racks

$C_n := \{0, \dots, n-1\}$ with $a^b := a+1$.

5 Dihedral racks

$D_n := \{0, \dots, n-1\}$ with $a^b := 2b - a \pmod{n}$.

6 Alexander quandles

Let $\Lambda = \mathbb{Z}[t, t^{-1}]$. Then any Λ -module may be equipped with the rack structure

$$a^b := ta + (1 - t)b.$$

The operator group

The rack axioms imply the function $\pi_b: x \mapsto x^b$ is a permutation of X . The **operator group** of X is the group

$$\text{Op } X := \langle \pi_x : x \in X \rangle.$$

The action of this group splits X into **orbits**. A rack with a single orbit is **transitive**.

$\text{Op}: \text{Rack} \rightarrow \text{Group}$ is not functorial.

The associated group

The **associated group** of X is the group

$$\text{As } X := \langle x \in X \mid x^y = y^{-1}xy \text{ for } x, y \in X \rangle.$$

This gives a functor $\text{As}: \text{Rack} \rightarrow \text{Group}$ which is the left adjoint of the conjugation functor $\text{Conj}: \text{Group} \rightarrow \text{Rack}$

The rack space

The **rack space** BX of a rack X is the analogue of the classifying space BG of a group G .

It defines a functor $B: \text{Rack} \rightarrow \text{Top}_*$, and consists of:

1. One 0-cell $*$

2. One 1-cell $* \xrightarrow{x} *$ for each element $x \in X$

3. One 2-cell $\begin{array}{ccc} * & \xrightarrow{xy} & * \\ y \uparrow & & \uparrow y \\ * & \xrightarrow{x} & * \end{array}$ for each ordered pair (x, y) of elements of X

⋮

n . One n -cell for each ordered n -tuple of elements of X

Homology of racks

We can define

$$\begin{aligned}H_n(X) &:= H_n(BX) \\ H^n(X) &:= H^n(BX).\end{aligned}$$

Specifically,

$$\begin{aligned}C_n(X) &= FA(X^n) \\ \partial_n(x_1, \dots, x_n) &= \sum_{i=2}^n (-1)^i (x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n) \\ &\quad - \sum_{i=2}^n (-1)^i (x_1^{x_i}, \dots, x_{i-1}^{x_i}, x_{i+1}, \dots, x_n)\end{aligned}$$

Examples

$$H_1(BX) = \mathbb{Z}^{|\text{Orb}(X)|}, \text{ and } \pi_1(BX) = \text{As}(X)$$

$$BT_m \simeq \Omega(\vee^m S^2) \text{ (so } H_n(BT_m) \neq 0 \text{ for all } n)$$

$$BF_m \simeq \vee^m S^1 \text{ (so } H_n(BF_m) = 0 \text{ for } n > 1)$$

Quandle homology

If X is a quandle, we can define **quandle homology** groups:

$$\begin{aligned}C_n^Q(X) &= FA(X^n)/P_n(X) \\P_n(X) &= \{(x_1, \dots, x_n) \in X^n : x_i = x_{i+1} \text{ for some } i\}\end{aligned}$$

Extensions

Let X be a rack, let A be an Abelian group, and let $f: X \times X \rightarrow A$ be some function.

We can define a binary operation on $A \times X$:

$$(a, x)^{(b, y)} = (a + f(x, y), x^y)$$

This gives $A \times X$ the structure of a rack iff f satisfies the **2-cocycle condition**

$$f(x^y, z) + f(x, y) = f(x^z, y^z) + f(x, z).$$

Also, any two functions $f_1, f_2: X \times X \rightarrow A$ give equivalent extensions if

$$f_1 = f_2 + g$$

where $g: X \times X \rightarrow A$ satisfies the **2-coboundary condition**

$$g(x, y) = h(x) + h(x^y)$$

for some $h: X \rightarrow A$.

In other words, rack extensions of X by the Abelian group A are in bijective correspondence with elements of $H^2(X; A)$. That is,

$$\text{Ext}(X, A) \cong H^2(X; A)$$

In the case where X is a quandle, this process makes $A \times X$ a quandle (rather than just a rack) if

$$(a, x)^{(a, x)} = (a + f(x, x), x^x) = (a, x);$$

that is, if $f(x, x) = 0$ for all $x \in X$. But this is just the extra condition on quandle 2-cocycles, so

$$\text{Ext}_Q(X, A) \cong H_Q^2(X; A).$$

Modules

We consider group homology $H_n(G; A)$ with coefficients in a G -module A , so what is an X -module?

Jon Beck devised a general answer to this question in his 1967 PhD thesis.

Given an object X in a category C , a **Beck module** over X is an Abelian group object in C/X .

The objects of the **slice category** C/X are C -morphisms $f: Y \rightarrow X$, and the morphisms are commutative triangles

$$\begin{array}{ccc} Y & \xrightarrow{\alpha} & Z \\ & \searrow f & \swarrow g \\ & X & \end{array}$$

If we do this in the category Group , we find that

$$\text{Ab}(\text{Group}/G) \cong {}_G\text{Mod}$$

Abelian group objects in Group/G correspond to split extensions

$$A \twoheadrightarrow A \rtimes G \twoheadrightarrow G$$

where A is a G -module.

Following this procedure for the category Rack yields the following:

A (left) **rack module** $\mathcal{A} = (A, \phi, \psi)$ over X consists of

- an Abelian group A_x for each $x \in X$, with
- isomorphisms $\phi_{x,y}: A_x \rightarrow A_{xy}$ and
- homomorphisms $\psi_{y,x}: A_y \rightarrow A_{xy}$ for each $x, y \in X$

such that

$$\begin{aligned}\phi_{x^y,z}\phi_{x,y} &= \phi_{x^z,y^z}\phi_{x,z} \\ \phi_{x^y,z}\psi_{y,x} &= \psi_{y^z,x^z}\phi_{y,z} \\ \psi_{z,xy} &= \phi_{x^z,y^z}\psi_{z,x} + \psi_{y^z,x^z}\psi_{z,y}\end{aligned}$$

for all $x, y, z \in X$.

In particular, this means that $A_x \cong A_y$ if x and y lie in the same orbit of X .

Modules \mathcal{A} where $A_x \cong A_y$ for all $x, y \in X$ are said to be **homogeneous**, otherwise **heterogeneous**.

We may also write $\phi_{xy,z}\phi_{x,y}$ as $\phi_{x,yz}$, where yz is considered as an element of $\text{As } X$.

Given two X -modules $\mathcal{A} = (A, \phi, \psi)$ and $\mathcal{B} = (B, \chi, \omega)$, an X -**map** or X -**module homomorphism** $f: \mathcal{A} \rightarrow \mathcal{B}$ is a collection of maps $f_x: A_x \rightarrow B_x$ such that

$$\begin{aligned} f_{xy}\phi_{x,y} &= \chi_{x,y}f_x \\ f_{xy}\psi_{x,y} &= \omega_{x,y}f_y \end{aligned}$$

This means we can define a category RMod_X , of rack X -modules and X -maps, which is equivalent to $\text{Ab}(\text{Rack}/X)$.

If X is a quandle, there is also a subcategory $\text{QMod}_X \cong \text{Ab}(\text{Quandle}/X)$.

In particular, these categories are Abelian, and hence suitable environments to define homology theories in.

1 Trivial X -modules

If $\phi_{x,y} = \text{Id}$ and $\psi_{y,x} = 0$, the module is said to be **trivial**. In particular, any Abelian group A can be considered as a trivial homogenous X -module \mathcal{A} .

2 $\text{As}(X)$ -modules

Let A be an $\text{As}(X)$ -module, let $\phi_{x,y}: a \mapsto y \cdot a$, and $\psi_{y,x}: a \mapsto a - x \cdot a$. This is a nontrivial homogeneous X -module.

3 Dihedral X -modules

Let $D_x = \mathbb{Z}_n$, $\phi_{x,y}: k \mapsto -k$ and $\psi_{y,x}: k \mapsto 2k$. Then $\mathcal{D} = (D, \phi, \psi)$ is a X -module.

4 Alexander X -modules

Let $\Lambda = \mathbb{Z}[t, t^{-1}]$. Then if A_x is a Λ -module, $\phi_{x,y}: a \mapsto ta$, and $\psi_{y,x}: a \mapsto (1 - t)a$, the triple $\mathcal{A} = (A, \phi, \psi)$ is an X -module.

Extensions revisited

Let X be a rack, let $\mathcal{A} = (A, \phi, \psi)$ be an X -module, and let

$$\sigma = \{\sigma_{x,y} \in A_{xy} : x, y \in X\}$$

be a collection of elements of the groups A_x . Then we may define a binary operation on the set

$$E[X, \mathcal{A}, \sigma] = \{(a, x) : x \in X, a \in A_x\}$$

given by

$$(a, x)^{(b,y)} := (\phi_{x,y}(a) + \sigma_{x,y} + \psi_{y,x}(b), x^y).$$

This gives $E[X, \mathcal{A}, \sigma]$ the structure of a rack iff

$$\phi_{x^y,z}(\sigma_{x,y}) + \sigma_{x^y,z} = \phi_{x^z,y^z}(\sigma_{x,z}) + \sigma_{x^z,y^z} + \psi_{y^z,x^z}(\sigma_{y,z}).$$

In the case where \mathcal{A} is an Abelian group (considered as a trivial homogeneous X -module), this reduces to

$$\sigma_{x,y} + \sigma_{x^y,z} = \sigma_{x,z} + \sigma_{x^z,y^z}$$

which is just (a slightly rewritten form of) the 2-cocycle condition mentioned earlier.

Similarly, any two extensions $E[X, \mathcal{A}, \sigma]$ and $E[X, \mathcal{A}, \tau]$ are equivalent iff

$$\tau_{x,y} = \sigma_{x,y} + (\phi_{x,y}(v_x) + v_{xy} + \psi_{y,x}(v_y))$$

where

$$v = \{v_x : x \in X, v_x \in A_x\}$$

is some collection of elements of the groups A_x .

If \mathcal{A} is an Abelian group, this reduces to the 2-coboundary condition

$$\tau_{x,y} = \sigma_{x,y} + (v_x + v_{xy}).$$

So we can define groups $\text{Ext}(X, \mathcal{A})$ which classify rack extensions of X by \mathcal{A} .

We can also define groups $\text{Ext}_Q(X, \mathcal{A})$ which classify quandle extensions, where the **factor set** σ must also satisfy the condition

$$\sigma_{x,x} = 0.$$

Free modules

Given a collection $S = \{S_x : x \in X\}$ of sets, we can define the **free X -module** $\mathcal{F} = (F, P, \Lambda)$ with basis S .

F_x is the free Abelian group generated by symbols of the form $\rho_{x\bar{w},w}(s)$ and $\rho_{x\bar{w},w}\lambda_{y,x\bar{w}\bar{y}}(t)$, where $s \in S_{x\bar{w}}$ and $t \in S_y$, modulo relations

- (1) $\rho_{x^u,v}\rho_{x,u} = \rho_{x^v,u^v}\rho_{x,v} = \rho_{x,uv}$
- (2) $\rho_{xy,v}\lambda_{y,x} = \lambda_{y^v,x^v}\rho_{y,v}$
- (3) $\lambda_{z,xy} = \lambda_{y^z,x^z}\lambda_{z,y} + \rho_{x^z,y^z}\lambda_{z,x}$
- (4) $\rho_{x,w}(p + q) = \rho_{x,w}(p) + \rho_{x,w}(q)$
- (5) $\lambda_{y,x}(s + t) = \lambda_{y,x}(s) + \lambda_{y,x}(t)$.

The structure maps P and Λ are given by

$$\begin{aligned} P_{x,y}: F_x &\rightarrow F_{xy}; & a &\mapsto \rho_{x,y}a \\ \Lambda_{y,x}: F_y &\rightarrow F_{xy}; & b &\mapsto \lambda_{y,x}b \end{aligned}$$

If $S_x = \{*\}$ for each $x \in X$, we obtain the **rack algebra** or **wring**, denoted $\mathbb{Z}X$. This is the analogue of the group ring $\mathbb{Z}G$ in group homology, and the universal enveloping algebra $U\mathfrak{g}$ in Lie algebra homology.

Right X -modules

A right X -module is similar to a left X -module, but the structure maps are reversed. It consists of a collection $A = \{A_x : x \in X\}$ of Abelian groups, isomorphisms $\phi^{x,y} : A_{xy} \rightarrow A_x$ and homomorphisms $\psi^{y,x} : A_{xy} \rightarrow A_y$ such that

$$\begin{aligned}\phi^{x,y} \phi^{x^y,z} &= \phi^{x,z} \phi^{x^z,y^z} \\ \psi^{y,x} \phi^{x^y,z} &= \phi^{y,z} \psi^{y^z,x^z} \\ \psi^{z,x^y} &= \psi^{z,y} \psi^{y^z,x^z} + \psi^{z,x} \phi^{x^z,y^z}\end{aligned}$$

Given two right X -modules $\mathcal{A} = (A, \phi, \psi)$ and $\mathcal{B} = (B, \chi, \omega)$, an X -map $f : \mathcal{A} \rightarrow \mathcal{B}$ is a collection of homomorphisms $f_x : A_x \rightarrow B_x$ such that

$$\begin{aligned}f_x \phi^{x,y} &= \chi^{x,y} f_{xy} \\ f_y \psi^{x,y} &= \omega^{x,y} f_{xy}\end{aligned}$$

These objects and maps form an Abelian category RMod^X .

There is an equivalence $\text{RMod}^X \cong \text{RMod}_{X^*}^*$, where X^* denotes the **opposite** of X .

Tensor products

Given a right X -module $\mathcal{A} = (A, \phi, \psi)$ and a left X -module $\mathcal{B} = (B, \chi, \omega)$, the **tensor product** $\mathcal{A} \otimes_X \mathcal{B}$ is the Abelian group generated by symbols of the form $a \otimes b$, where $a \in A_x$ and $b \in B_x$ for some $x \in X$, modulo relations

- (1) $(a_1 + a_2) \otimes b = a_1 \otimes b + a_2 \otimes b$
- (2) $a \otimes (b_1 + b_2) = a \otimes b_1 + a \otimes b_2$
- (3) $(na) \otimes b = a \otimes (nb) = n(a \otimes b)$
- (4) $\phi^{x,y}(c) \otimes b = c \otimes \chi_{x,y}(b)$
- (5) $\psi^{y,x}(c) \otimes d = c \otimes \omega_{y,x}(d)$

This gives a functor $\otimes_X : \text{RMod}^X \times \text{RMod}_X \rightarrow \text{Ab}$ which is adjoint to the Hom functor:

$$\text{Hom}_{\text{RMod}^X}(\mathcal{A}, \text{Hom}_{\text{Ab}}(\mathcal{B}, C)) \cong \text{Hom}_{\text{Ab}}(\mathcal{A} \otimes_X \mathcal{B}, C)$$

Homology again

We now have all we need to generalise rack and quandle homology to the case where the coefficient object is an X -module.

The standard complex

Let $z \in X$ be some fixed rack element (which will only be relevant in dimensions 0 and 1).

Then the $(z-)$ **standard complex** is

$$\mathbf{R}^z = \cdots R_n \xrightarrow{d_n} \cdots \xrightarrow{d_2} \mathcal{R}_1 \xrightarrow{d_1^z} \mathcal{R}_0 \xrightarrow{\varepsilon} \mathbb{Z} \longrightarrow 0$$

where R_n denotes the free X -module with basis S_n where $(S_n)_x = \{(x_1, \dots, x_n) : x_1^{x_2 \dots x_n} = x\}$.

(In particular, $R_1 \cong R_0 \cong \mathbb{Z}X$.)

The boundary maps are

$$d_n = \sum_{i=1}^n (-1)^{i+1} d_n^i$$

where, for $1 \leq i \leq n-1$,

$$(d_n^i)_{x_1^{x_2 \dots x_n}}(x_1, \dots, x_n) = \rho_{x_1^{x_2 \dots \hat{x}_{i+1} \dots x_n}, x_{i+1}^{x_{i+2} \dots x_n}}(x_1, \dots, \hat{x}_{i+1}, \dots, x_n) - (x_1^{x_{i+1}}, \dots, x_i^{x_{i+1}}, x_{i+2}, \dots, x_n);$$

for $n > 1$,

$$(d_n^n)_{x_1^{x_2 \dots x_n}}(x_1, \dots, x_n) = (-1)^{n+1} \lambda_{x_2^{x_3 \dots x_n}, x_1^{x_3 \dots x_n}}(x_2, \dots, x_n);$$

$$(d_1^z)_x: (x) \mapsto \lambda_{z, x^z}(*);$$

and $\varepsilon: \mathbb{Z}X \rightarrow \mathbb{Z}$ is the **augmentation map** given by

$$\varepsilon_x: \begin{cases} \rho_{x^{\bar{w}}, w}(s) & \mapsto 1 \\ \rho_{x^{\bar{w}}, w} \lambda_{y, x^{\bar{w}y}}(t) & \mapsto 0 \end{cases}$$

(We denote $\ker \varepsilon$ by $\mathcal{I}X$ and call it the **augmentation module**.)

A routine calculation confirms that

$$\varepsilon d_1^z = d_1^z d_2 = \dots = d_{n-1} d_n = \dots = 0$$

and so \mathbf{R}^z is a chain complex of X -modules.

We can now define homology and cohomology groups by applying $-\otimes_X \mathcal{A}$ and $\text{Hom}_X(-, \mathcal{A})$ to this complex:

$$\begin{aligned} H_n(X; \mathcal{A}) &:= H_n(R^z \otimes_X \mathcal{A}) \\ H^n(X; \mathcal{A}) &:= H^n(\text{Hom}_X(R^z, \mathcal{A})) \end{aligned}$$

If \mathcal{A} is trivial homogeneous, this reduces to the (topological) (co)homology of the rack space BX with coefficients in an Abelian group A .

If \mathcal{A} is homogeneous, then we recover the more general (co)homology theory described by Andruskiewitsch and Graña*. If the ψ -maps are all zero, this further reduces to the theory studied by Etingof and Graña†.

Quandle homology

To generalise the quandle homology groups, let R_n denote the free quandle X -module with basis

$$(S_n)_x = \{(x_1, \dots, x_n) \in X^n : x_1^{x_2 \dots x_n} = x\}$$

and let P_n denote the free quandle X -module with basis

$$(T_n)_x = \{(x_1, \dots, x_n) \in (S_n)_x : x_i = x_{i+1} \text{ for some } i\}$$

Then define $Q_n = R_n/P_n$ to get a complex

$$Q^z = \dots Q_n \xrightarrow{d_n} \dots \xrightarrow{d_2} Q_1 \xrightarrow{d_1^z} Q_0 \xrightarrow{\varepsilon} \mathbb{Z} \longrightarrow 0$$

***N Andruskiewitsch, M Graña**, *From racks to pointed Hopf algebras*, Adv Math 178 (2003) 177–243.

†**P Etingof, M Graña**, *On rack cohomology*, J Pure Appl Alg 177 (2003) 49–59.

This gives us a generalised quandle homology theory:

$$\begin{aligned}H_n^Q(X; \mathcal{A}) &:= H_n(Q^z \otimes_X \mathcal{A}) \\H_Q^n(X; \mathcal{A}) &:= H^n(\text{Hom}_X(Q^z, \mathcal{A}))\end{aligned}$$

In this case where \mathcal{A} is trivial homogeneous, this recovers the quandle homology theory of Carter, Saito, *et al**. If \mathcal{A} is a homogeneous Alexander module, then we get the ‘twisted’ quandle homology of Carter, Elhamdadi and Saito†.

***J S Carter, D Jelsovsky, S Kamada, L Langford, M Saito**, *Quandle cohomology and state-sum invariants of knotted curves and surfaces*, Trans AMS 355 (2003) 3947–3989.

†**J S Carter, M Elhamdadi, M Saito**, *Twisted quandle homology theory and cocycle knot invariants*, Algebr Geom Topol 2 (2002) 95–135.

Cartan–Eilenberg homology

We now have an Abelian category, and well-defined notions of free modules, \otimes_X and Hom_X . Is there a derived-functor interpretation of rack or quandle homology?

Yes and no. RMod_X and QMod_X both have enough projectives and enough flats, so we can define (co)homology theories in terms of derived functors of $\text{Hom}_X(-, \mathcal{A})$ and $- \otimes_X \mathcal{A}$. However,

$$0 \rightarrow \mathcal{I}T_m \xrightarrow{i} \mathbb{Z}X \xrightarrow{\varepsilon} \mathbb{Z} \rightarrow 0$$

is a T_m -free resolution, so

$$\overline{H}_n(T_m; \mathcal{A}) = \overline{H}^n(T_m; \mathcal{A}) = 0$$

if $n > 1$. This is the sort of behaviour we tend to expect from ‘trivial’ objects.

Conjecture

$$\overline{H}_n(X; \mathcal{A}) = \overline{H}^n(X; \mathcal{A}) = 0$$

if $\text{As } X$ is free, or free Abelian.

Applications

State-sum invariants of links

Carter, Saito, *et al.* defined a powerful family of link invariants.

1. Let L be an oriented link.
1. Choose a (small) finite quandle X .
2. Choose an Abelian group A and write it multiplicatively.
3. Choose a 2-cocycle $f \in H_Q^2(X; A)$.
4. Colour the (arcs of the) link consistently with elements of X .
5. The **weight** $w(\chi)$ of a crossing χ is

$$f(x, y)^{\varepsilon(\chi)}$$

where x, y are the labels of the incoming strands, and $\varepsilon(\chi)$ is the sign of χ .

6. The **state sum**

$$\Phi_f(L) = \sum_{\text{colourings}} \prod_{\text{crossings } \chi} w(\chi)$$

is an ambient isotopy invariant of L .

More generally, let $L^n \hookrightarrow M^{n+2}$ be a codimension-2 embedded manifold. Given an $(n+1)$ -cocycle $f \in H_Q^{n+1}(X; \mathcal{A})$, the state sum $\Phi_f(L)$ is an ambient isotopy invariant of L .

This all generalises to the case $f \in H^{n+1}(X; \mathcal{A})$, where \mathcal{A} is an arbitrary (possibly heterogeneous, possibly nontrivial) quandle X -module, and f is an (almost) arbitrary $(n+1)$ -cocycle.

2-racks

A 2-group is a ‘categorification’ of an ordinary group, essentially a category equipped with certain endofunctors which make it behave like a group. A **strict** 2-group is one where all the group identities hold exactly; in a **coherent** 2-group they hold only up to isomorphism. 2-groups are classified by the third group cohomology $H^3(-; -)$. A similar definition and classification exist for Lie 2-algebras.

What are 2-racks and 2-quandles, and can they be classified by either of the cohomology theories described today?

Representation theory

Group representations correspond to modules over the complex group ring $\mathbb{C}G$. It is possible to define an analogous notion of a rack or quandle representation, in terms of a module over $\mathbb{C}X$.

Is this (a) interesting, or (b) useful?