

# MULTIGRADED HILBERT SCHEMES

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These are lecture notes for my lectures at the School on *Hilbert schemes, McKay correspondence, and singularities*, held in Paris, 16–18 December 2019.

The goal of these lectures is to introduce the multigraded Hilbert scheme, originally introduced by Haiman and Sturmfels in [HS04], and explain some of what is known about it.

These are lecture notes, and thus are not polished. Please let me know of any typos or mathematical errors!

## 1. LECTURE 1: DEFINITIONS

The multigraded Hilbert scheme parameterizes all ideals in a polynomial ring that are homogeneous and have a fixed Hilbert function with respect to a grading by an abelian group. Examples include the Grothendieck Hilbert scheme  $\text{Hilb}_P(\mathbb{P}^n)$  of subschemes of  $\mathbb{P}^n$  with Hilbert polynomial  $P$ , the Hilbert scheme  $\text{Hilb}^d(\mathbb{A}^n)$  of points in affine space, Nakamura's  $G$ -Hilbert scheme that arises in the McKay correspondence for abelian  $G$  [Nak01], and the toric Hilbert scheme [PS02].

We now introduce these Hilbert schemes more formally.

Let  $S = R[x_1, \dots, x_n]$  be the polynomial ring with coefficients in a commutative ring  $R$ . We can view  $S$  as the monoid algebra  $R[\mathbb{N}^n]$ . A grading of  $S$  by an abelian group  $A$  is induced from a semigroup homomorphism  $\text{deg}: \mathbb{N}^n \rightarrow A$ . This induces a direct sum decomposition  $S \cong \bigoplus_{a \in A} S_a$ , where  $S_a$  is the  $R$ -module spanned by monomials of degree  $a$ . This decomposition satisfies  $S_a S_b \subseteq S_{a+b}$ .

- Example 1.1.**
- (1)  $\text{deg}: \mathbb{N}^n \rightarrow \mathbb{Z}$  given by  $\mathbf{u} \mapsto |\mathbf{u}| = \sum_{i=1}^n u_i$ . This is the standard grading on the polynomial ring:  $\text{deg}(x_i) = 1$  for  $1 \leq i \leq n$ .
  - (2)  $\text{deg}: \mathbb{N}^2 \rightarrow \mathbb{Z}/3\mathbb{Z}$  given by  $\text{deg}((1, 0)) = 1 \pmod{3}$ ,  $\text{deg}((0, 1)) = 2 \pmod{3}$ , so  $\text{deg}(x_1) = 1 \pmod{3}$ , and  $\text{deg}(x_2) = 2 \pmod{3}$ . Note that we have  $S_0 = R[x_1^3, x_1 x_2, x_2^3] = R[x_1, x_2]^{S_3}$ .
  - (3)  $\text{deg}: \mathbb{N}^n \rightarrow 0$ , given by  $\mathbf{u} \mapsto 0$  for all  $\mathbf{u} \in \mathbb{N}^n$ .

**Definition 1.2.** A homogeneous ideal  $I$  in  $S$  is *admissible* if  $(S/I)_a = S_a/I_a$  is a locally free  $R$ -module of finite rank, constant on  $\text{Spec}(R)$ , for all  $a \in A$ . This means that  $S_a/I_a$  is an  $R$ -module with  $(S_a/I_a) \otimes_R R_P \cong R_P^h$  for all primes  $P \subseteq R$ , where  $h$  does not depend on the choice of prime  $P$ .

**Example 1.3.** (1) When  $K$  is a field, and  $S = K[x_1, \dots, x_n]$  has the standard grading, then any homogeneous ideal is admissible.

(2) Consider the standard grading on  $S = \mathbb{Z}[x_1, x_2]$ . Then  $I = \langle x_1 + 3x_2 \rangle$  is admissible, as  $(\mathbb{Z}[x_1, x_2]/I)_d$  is locally free of rank 1 for all  $d > 0$ . Indeed,

$$(\mathbb{Z}[x_1, x_2]/\langle x_1 + 3x_2 \rangle)_d \otimes \mathbb{Z}_{(p)} \cong (\mathbb{Z}_{(p)}[x_1, x_2]/\langle x_1 + 3x_2 \rangle)_d \cong \mathbb{Z}_{(p)}$$

is a free  $\mathbb{Z}_{(p)}$ -module with basis  $x_2^d$ .

However  $J = \langle 2x_1 + 4x_2 \rangle$  is not admissible, as

$$\mathbb{Z}[x_1, x_2]/\langle 2x_1 + 4x_2 \rangle \otimes \mathbb{Z}_{(2)} \cong \mathbb{Z}_{(2)}[x_1, x_2]/\langle 2x_1 + 4x_2 \rangle$$

is not a free  $\mathbb{Z}_{(2)}$ -module, since it is torsion:  $2(x_1 + 2x_2) = 0$ , but  $x_1 + 2x_2 \neq 0$ .

(3) Under the trivial grading  $\deg: \mathbb{N}^2 \rightarrow 0$ ,  $I = \langle x^2, xy \rangle \subseteq \mathbb{C}[x, y]$  is not admissible, as  $\mathbb{C}[x, y]/I$  is a free  $\mathbb{C}$ -module, but is not of finite rank. The ideal  $J = \langle x^2, y^2 \rangle$  is admissible, as  $\mathbb{C}[x, y]/J$  is free of rank 4.

**Definition 1.4.** The *Hilbert function* of an admissible ideal  $I$  in  $S$  is

$$h_I: A \rightarrow \mathbb{N}$$

given by

$$h_I(a) = \text{rk}_R(S/I)_a.$$

Informally, given a function  $h: A \rightarrow \mathbb{N}$ , the multigraded Hilbert scheme  $\text{Hilb}_S^h$  parameterizes all admissible ideals  $I$  in  $S$  with  $h_I = h$ .

We now give a formal definition. Fix a commutative ring  $K$ . We construct a functor  $H_S^h: K\text{-algebras} \rightarrow \text{Sets}$  given by setting, for a commutative ring  $R$ ,

$$H_S^h(R) = \{ \text{homogeneous ideals } I \subseteq R[x_1, \dots, x_n] \text{ such that } (R[x_1, \dots, x_n]/I)_a$$

is a locally free  $R$ -module of rank  $h(a)$  for all  $a \in A$  \}.

Recall that a scheme  $Z$  over  $K$  represents a functor  $F: K\text{-algebras} \rightarrow \text{Sets}$  if  $F \cong \text{Hom}(-, Z)$ , so there is a natural bijection between  $F(R)$  and  $\text{Hom}(\text{Spec}(R), Z)$ . We say that  $F$  is the functor of points of  $Z$ , and that  $Z$  represents  $F$ .

We say that a grading  $\deg: \mathbb{N}^n \rightarrow A$  is *positive* if  $\deg^{-1}(0) = \mathbf{0}$ . The standard grading on the polynomial ring is positive, but the other two gradings of Example 1.1 are not.

**Theorem 1.5.** (*Haiman-Sturmfels* [HS04]). *There is quasi-projective scheme  $\text{Hilb}_S^h$  over  $K$  that represents  $H_S^h$ . This scheme is projective if the grading is positive.*

**Example 1.6.** Let  $\deg: \mathbb{N}^2 \rightarrow \mathbb{Z}$  be given by  $\deg((1, 0)) = 1$ , and  $\deg((0, 1)) = 2$ . Consider the function  $h: \mathbb{Z} \rightarrow \mathbb{N}$  given by  $h(0) = h(1) = h(2) = 1$ , and  $h(d) = 0$  for all other  $d$ . Then admissible ideals with Hilbert function  $h$  have the form  $\langle ax^2 + by, x^3, xy, y^2 \rangle \subseteq R[x, y]$ , for  $a, b \in R$  with  $ab \neq 0$ , so  $\text{Hilb}_S^h \cong \mathbb{P}^1$ .

**Example 1.7.** Let  $\deg: \mathbb{N}^n \rightarrow 0$  be the trivial map, and let  $h: 0 \rightarrow \mathbb{N}$  to be given by  $h(0) = d$  for some  $d \in \mathbb{N}$ . Then  $\text{Hilb}_S^h \cong \text{Hilb}^d(\mathbb{A}^n)$  is the Hilbert scheme of  $d$  points in  $\mathbb{A}^n$ . When  $K$  is a field, admissible ideals in  $K[x_1, \dots, x_n]$  with Hilbert function  $h$  are ideals  $I \subseteq K[x_1, \dots, x_n]$  with  $\dim_K K[x_1, \dots, x_n]/I = d$ .

**Example 1.8.** Let  $A$  be a finite abelian group, and let  $h: A \rightarrow \mathbb{N}$  be given by  $h(a) = 1$  for all  $a \in A$ . Then  $\text{Hilb}_S^h$  is Nakamura's  $G$ -Hilbert scheme [Nak01] for  $G = A$ . This is a key player in the McKay correspondence.

**Example 1.9.** Let  $A = \mathbb{Z}^r$ , and let  $\deg: \mathbb{N}^n \rightarrow A$  be a grading with  $\deg(\mathbf{e}_i) \in \mathbb{N}^r$  for  $1 \leq i \leq n$ . Let  $A^+$  be the submonoid of  $\mathbb{N}^r$  generated by  $\{\deg(\mathbf{e}_1), \dots, \deg(\mathbf{e}_r)\}$ , and let  $h: A \rightarrow \mathbb{N}$  be given by  $h(a) = 1$  if  $a \in A^+$  and  $h(a) = 0$  otherwise. Then  $\text{Hilb}_S^h$  is the toric Hilbert scheme of Peeva and Stillman [PS02].

**Example 1.10.** Let  $\deg: \mathbb{N}^n \rightarrow \mathbb{Z}$  be the standard grading, and fix  $P \in \mathbb{Q}[t]$  with  $P(m) \in \mathbb{N}$  for all  $m \in \mathbb{N}$ . Fix  $D \gg 0$ . Define  $h: \mathbb{Z} \rightarrow \mathbb{N}$  by

$$(1) \quad h(d) = \begin{cases} \dim_K(S_d) = \binom{n+d-1}{d} & \text{for } 0 \leq d \leq D-1 \\ P(d) & d \geq 0 \\ 0 & \text{otherwise} \end{cases}$$

Then  $\text{Hilb}_S^h$  is  $\text{Hilb}_P(\mathbb{P}^{n-1})$ , which is Grothendieck's Hilbert scheme parameterizing subschemes of  $\mathbb{P}^{n-1}$  with Hilbert polynomial  $P$ .

This is not the standard description of this Hilbert scheme. A more usual version of the Hilbert function  $H_P$  takes a scheme  $Z$  to the set of flat families

$$\begin{array}{c} \mathcal{X} \\ \downarrow \\ Z \end{array}$$

where  $\mathcal{X} \subseteq Z \times \mathbb{P}^{n-1}$ , with the Hilbert polynomial of every fiber  $\mathcal{X}_z := \mathcal{X} \times_Z \kappa(z)$  for  $z \in Z$  equal to  $P$ .

To see that this is the same scheme, first note that we can restrict to affine schemes  $Z = \text{Spec}(R)$  by standard Yoneda arguments (see [EH00, Proposition VI.2]). So we need only consider  $\mathcal{X} \subseteq \mathbb{P}_R^n$ . There is a bijection between such  $\mathcal{X}$  and *saturated* homogeneous ideals  $I \subseteq R[x_0, \dots, x_n]$  with Hilbert polynomial  $P$ , where an ideal is saturated if  $I = I^{\text{sat}} = (I : \mathfrak{m}^\infty) := \{f \in S : fx_i^m \in I \text{ for some } m > 0\}$ . There is a uniform bound on the degree  $D \gg 0$  for

which saturated ideals with Hilbert polynomial  $P$  have  $h_I(d) = P(d)$  for all  $d \geq D$ . An explicit description of this bound is given by the Gotzmann number associated to  $P$ ; see [Got78], [Bru98, Chapter 4, §3], and Lecture 3 below. This means that there is a map from  $X \subseteq \mathbb{P}_R^n$  with the Hilbert polynomial of fibers equal to  $P$ , and ideals with the Hilbert function  $h$  given in (1), with the map given by taking  $I \subseteq R[x_0, \dots, x_n]$  to  $I_{\geq D}$ . Furthermore, if  $I_d = J_d$  for  $d \gg 0$ , then  $I^{sat} = J^{sat}$ , so the map is an injection, and all ideals with Hilbert function  $h$  have Hilbert polynomial  $P$ .

The fact that  $\text{Hilb}_S^h$  represents the functor  $H_S^h$  means that we have a universal family

$$\begin{array}{c} \mathcal{U} \\ \downarrow \\ \text{Hilb}_S^h \end{array}$$

where  $\mathcal{U} \subseteq \text{Hilb}_S^h \times \mathbb{A}^n$  with the property that if

$$\begin{array}{c} \mathcal{F} \\ \downarrow \\ B \end{array}$$

is a family with  $\mathcal{F} \subseteq B \times \mathbb{A}^n$  invariant under the  $\text{Hom}(A, \mathbb{G}_m)$ -action corresponding to the grading and every fiber has Hilbert function  $h$ , then there is a unique morphism  $\phi: B \rightarrow \text{Hilb}_S^h$  with  $\mathcal{F} = \phi^*(\mathcal{U})$ .

**Example 1.11.** With the grading and Hilbert function of Example 1.6, the universal family is  $\text{Proj}(\mathbb{Z}[a, b, x, y]/\langle ax^2 + by, x^3, xy, y^2 \rangle) \subseteq \mathbb{P}^1 \times \mathbb{A}^2$ . Here the grading for the Proj has  $\deg(a) = \deg(b) = 1$ , and  $\deg(x) = \deg(y) = 0$ .

## 2. LECTURE 2: CONSTRUCTIONS

We now discuss the construction of the multigraded Hilbert scheme. We will show that  $\text{Hilb}_S^h$  is a subscheme of a product of Grassmannians.

We first roughly sketch this construction. If  $I$  is an admissible ideal with  $h_I = h$ , then  $I_a$  defines a point in the Grassmannian  $\text{Gr}(h(a), \text{rk } S_a)$ . Thus  $I$  corresponds to a point in the (possibly infinite) product  $\prod_{a \in A} \text{Gr}(h(a), \text{rk}(S_a))$ . In fact finitely many  $a$  suffice to determine  $I$ , and if this selection of  $a$  is large enough, then any ideals with prescribed Hilbert function in these degrees has Hilbert function  $h$ . Equations in this product come from the conditions  $x^u I_a \subseteq I_{a+\deg(x^u)}$  for monomials  $x^u$ .

**Example 2.1.** Let  $S = \mathbb{C}[x, y]$  have the standard grading  $\deg(x) = \deg(y) = 1$ . Set  $h(0) = 1$ ,  $h(1) = h(2) = 2$ ,  $h(3) = 1$ , and  $h(d) = 0$  for all  $d \geq 4$ . If

$h_I = h$ , then  $I_d = S_d$  for  $d \geq 4$ , and  $I_1 = 0$ , so  $I$  is determined by  $I_2$  and  $I_3$ . Since  $h(2) = 2$ , while  $\dim_{\mathbb{C}}(S_2) = 3$ , and  $h(3) = 1$  while  $\dim_{\mathbb{C}}(S_3) = 4$ ,  $I_2$  corresponds to a point in  $\text{Gr}(1, 3) \cong \mathbb{P}^2$ , while  $I_3$  corresponds to a point in  $\mathbb{P}^{3^\vee}$ . Let the coordinates on  $\mathbb{P}^2$  be denoted by  $a_0, a_1, a_2$ , corresponding to the monomials  $x^2, xy, y^2$ , and the coordinates on  $\mathbb{P}^{3^\vee}$  be denoted by  $b_0, b_1, b_2, b_3$ , corresponding to the monomials  $x^3, x^2y, xy^2, y^3$ . The equations come from the fact that  $a_0x^2 + a_1xy + a_2y^2 \in I$  implies that  $a_0x^3 + a_1x^2y + a_2xy^2 \in I$  and  $a_0x^2y + a_1xy^2 + a_2y^3 \in I$ , so

$$a_0b_0 + a_1b_1 + a_2b_2 = a_0b_1 + a_1b_2 + a_2b_3 = 0.$$

Thus  $\text{Hilb}_S^h$  is the subscheme of  $\mathbb{P}^2 \times \mathbb{P}^{3^\vee}$  cut out by the ideal  $\langle a_0b_1 + a_1b_1 + a_2b_2, a_0b_1 + a_1b_2 + a_2b_3 \rangle$ . The universal family is defined by the ideal

$$\langle a_0x^2 + a_1xy + a_2y^2, b_1x^3 - b_0x^2y, b_2x^3 - b_0xy^2, b_3x^3 - b_0y^3, b_2x^2y - b_1xy^2, b_3x^2y - b_1y^3, \\ b_3xy^2 - b_2y^3, a_0b_1 + a_1b_1 + a_2b_2, a_0b_1 + a_1b_2 + a_2b_3 \rangle$$

in  $\mathbb{C}[a_0, a_1, a_2, b_0, b_1, b_2, b_3, x, y]$ , which defines a subscheme of  $\mathbb{P}^2 \times \mathbb{P}^{3^\vee} \times \mathbb{A}^2$ .

We now provide some more detail on this construction.

Given a multigrading  $\deg: \mathbb{N}^n \rightarrow A$  and a Hilbert function  $h: A \rightarrow \mathbb{N}$ , we consider the following conditions on a finite set  $D \subseteq A$ :

- (1) **(g)**. Every monomial ideal with Hilbert function  $H$  is generated by monomials of degrees belonging to  $D$ .
- (2) **(h)** Every monomial ideal  $I$  generated in degrees in  $D$  satisfies: if  $h_I(a) = h(a)$  for all  $a \in D$ , then  $h_I(a) = h(a)$  for all  $a \in A$ .
- (3) **(h')** Every monomial ideal  $I$  generated in degrees in  $D$  satisfies: if  $h_I(a) = h(a)$  for all  $a \in D$ , then  $h_I(a) \leq h(a)$  for all  $a \in A$ .
- (4) **(s)** Every monomial ideal  $I$  with  $h_I = h$  has the property that the syzygy module of  $I$  is generated by syzygies  $x^u x^{v'} = x^v x^{u'} = \text{lcm}(x^u, x^v)$  among generators  $x^u, x^v$  of  $I$  with  $\deg(\text{lcm}(x^u, x^v)) \in D$ .

A set  $D \subseteq A$  is called *supportive* if it satisfies  $g$  and  $h'$ , and *very supportive* if it satisfies  $g, h$ , and  $s$ .

Given a finite set  $D$  of degrees, and  $h: D \rightarrow \mathbb{N}$ , we construct a subscheme of  $\prod_{a \in D} \text{Gr}(h(a), \text{rk } S_a)$  as follows. Write  $L = (L_a)_{a \in D}$  for an element of  $\prod_{a \in D} \text{Gr}(h(a), \text{rk } S_a)$ . The equations come from

$$x^u L_a \subseteq L_{a + \deg(x^u)}$$

for all monomials  $x^u$  with  $a, a + \deg(x^u) \in D$ . These equations are quadratic in the Plücker coordinates of these two Grassmannians. We call this subscheme  $\text{Hilb}_{S_D}^h$ .

**Theorem 2.2.** (*Haiman-Stumfels*) *If  $D$  is supportive then  $\text{Hilb}_S^h$  is a subscheme of  $\text{Hilb}_{S_D}^h$ . If  $D$  is very supportive then  $\text{Hilb}_S^h \cong \text{Hilb}_{S_D}^h$ . Finite (very) supportive sets always exist.*

The idea of the proof here is a generalization of Gröbner theory to this setting.

**Example 2.3.** When  $\deg$  is the standard grading, and

$$h(d) = \begin{cases} \dim_K(S_d) = \binom{n+d-1}{d} & \text{for } 0 \leq d \leq D-1 \\ P(d) & d \geq 0 \\ 0 & \text{otherwise} \end{cases}$$

where  $D = D(P)$  is the Gotzmann bound, then Gotzmann's theorems imply that  $\{D\}$  is a supportive set, and  $\{D, D+1\}$  is a very supportive set.

When  $|A| < \infty$ , then  $A$  is a (very) supportive set.

**Challenge:** Give explicit descriptions of (very) supportive sets in more generality. The existence proof given in [HS04] is nonconstructive.

### 3. LECTURE 3: WHAT IS KNOWN, AND OPEN PROBLEMS

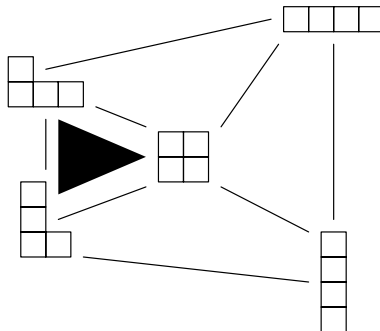
We begin with a summary of what is known in this area.

- (1) Let  $K$  be a commutative ring. Write  $S_K = K[x_1, \dots, x_n]$ . Then for any grading and Hilbert function, we have  $\text{Hilb}_{S_K}^h \cong \text{Hilb}_{S_{\mathbb{Z}}}^h \times_{\mathbb{Z}} \text{Spec}(K)$ .
- (2) Since  $\text{Hilb}_P(\mathbb{P}^{n-1})$  is a special case, all known pathologies of Hilbert schemes occur in multigraded Hilbert schemes. For example, there are non-reduced components [Mum62]. In addition, ‘‘Murphy’s Law’’ holds [Vak06]: every singularity type defined over  $\mathbb{Z}$  occurs in some multigraded Hilbert scheme. Here by a singularity type we mean the equivalence relation where  $(X, p) \sim (Y, q)$  if there is a smooth morphism  $(X, p) \rightarrow (Y, q)$ . The general philosophy is that whatever bad things can occur, you should expect.
- (3) Fogarty [Fog68] proved that  $\text{Hilb}^d(\mathbb{A}^2)$  is smooth and irreducible. In addition, Nakamura’s  $G$ -Hilbert scheme is smooth and irreducible when  $G \subseteq \text{SL}(2, \mathbb{C})$  (and is a crepant resolution of  $\mathbb{C}^2/G$ ). We have the following generalization of these results.

**Theorem 3.1.** (*M-Smith [MS10]*) *Let  $S = K[x, y]$ , where  $K$  is a commutative ring, let  $\deg: \mathbb{N}^2 \rightarrow A$  be any grading, and let  $h: A \rightarrow \mathbb{N}$  be any Hilbert function. Then  $\text{Hilb}_S^h$  is smooth and irreducible.*

The proof involves a combinatorial understanding of the tangent space, inspired by work of Haiman [Hai98], and an explicit description of Białnicki-Birula cells inspired by work of Evain [Eva04].

FIGURE 1. The  $T$ -graph of  $\text{Hilb}^4(\mathbb{A}^2)$ .



- (4) One consequence (realised in conversations with Rob Silversmith) is the following (work-in-progress).

The *spine* of the  $T$ -graph of  $\text{Hilb}^d(\mathbb{A}^d)$  is independent of characteristic.

The torus  $T = \mathbb{G}_m^n \cong (K^*)^n$  of  $\mathbb{A}^n$  acts on  $\text{Hilb}_S^h$  for any multigraded Hilbert scheme:  $t \cdot I = I|_{x_i=t_i x_i}$ . Fixed points of this  $T$ -action are monomial ideals. The closure of a one-dimensional  $T$ -orbit adds either one or two fixed points, so we can construct a graph where the vertices are the  $T$ -fixed points, and the edges are the one-dimensional  $T$ -orbits.

One thing that makes the study of  $T$ -graphs of multigraded Hilbert schemes challenging is that while they only have finitely vertices, they can have infinitely many edges.

**Example 3.2.** Let  $\text{Hilb}_S^h = \text{Hilb}^4(\mathbb{A}^2)$ . The  $T$ -graph has five fixed points, corresponding to the five monomial ideals  $I$  in  $S = K[x, y]$  with  $\dim_K S/I = 4$ , or equivalently to the five partitions of 4. The edges are shown in Figure 1, which is taken from [HM12]. The shaded triangle represents an infinite number of edges joining the ideals  $\langle x^3, xy, y^2 \rangle$  and  $\langle x^2, xyy^3 \rangle$ . These form a variety that has the ideal  $\langle x^2, y^2 \rangle$  in its closure.

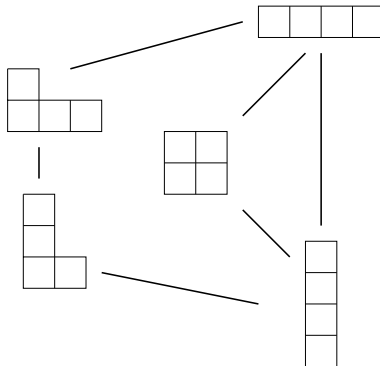
If an ideal lives on a one-dimensional  $T$ -orbit, it is homogeneous with respect to a  $\mathbb{Z}^n/\mathbf{c}$ -grading, so every ideal on a  $T$ -edge lives in a smaller multigraded Hilbert scheme. for  $S = K[x, y]$ ,  $\text{Hilb}_S^h$  is smooth and irreducible for the  $\mathbb{Z}^n/\mathbf{c}$ -grading. This result does not have any field assumptions. It also has a unique “max” and “min” monomial ideals (being the limit points of the torus action for generic points in the multigraded Hilbert scheme).

**Definition 3.3.** The *spine* of the  $T$ -graph of  $\text{Hilb}^d(\mathbb{A}^d)$  has one edge connecting the max and min vertex of the  $T$ -graph of each  $\text{Hilb}_S^h$ .

This is characteristic independent!

**Example 3.4.** When  $d = 4$ , the spine is the graph shown in Figure 2.

FIGURE 2. The spine of the  $T$ -graph of  $\text{Hilb}^4(\mathbb{A}^2)$ .



- (5) One of the few global results about the Hilbert scheme is Hartshorne's proof that the Hilbert scheme  $\text{Hilb}_P(\mathbb{P}^n)$  of subschemes of  $\mathbb{P}^n$  with a give Hilbert polynomial is always connected [Har66]. This is not the case in general for multigraded Hilbert schemes! There exists a toric Hilbert scheme ( $h(a) = 1$  for all  $a \in \deg(\mathbb{N}^n) \subseteq A = \mathbb{Z}^d$ ) that are disconnected. However the smallest known example has  $n = 26$ .

**Idea of construction:**

Write  $\deg(x_i) = \mathbf{a}_i \in \mathbb{Z}^d$ .

- (a) (Sturmfels) A monomial ideal  $I$  with  $h_I = h$  induces a triangulation of  $\text{pos}(\mathbf{a}_i : 1 \leq i \leq n) := \{\sum_{i=1}^n \lambda_i \mathbf{a}_i : \lambda_i > 0 \text{ for } 1 \leq i \leq n\}$ . The corresponding simplicial complex is the Stanley-Reisner complex of the radical of  $I$ :  $\Delta(\sqrt{I})$ . A cone  $\text{pos}(\mathbf{a}_i : i \in \sigma)$  with  $\sigma \subseteq \{1, \dots, n\}$  is in the triangulation if and only if there is no monomial in  $I$  with support in  $\sigma$ .

**Example 3.5.** Let  $S = \mathbb{C}[x_1, x_2, x_3, x_4]$ , with  $\deg(x_1) = (1, 0, 0)$ ,  $\deg(x_2) = (1, 1, 0)$ ,  $\deg(x_3) = (1, 0, 1)$ , and  $\deg(x_4) = (1, 1, 1)$ . Then  $I_1 = \langle x_1 x_4 \rangle$  and  $I_2 = \langle x_2 x_3 \rangle$  both have Hilbert function  $h(a) = 1$  if  $a \in \deg(\mathbb{N}^4)$ , and  $h(a) = 0$  otherwise. The corresponding two triangulations are shown in cross-section in Figure 3.

- (b) The two triangulations in Example 3.5 are connected by a *bistellar flip*. This is a flip in the sense of Mori theory of the corresponding toric varieties. See [DLRS10] for more on bistellar flips of triangulations.

Each irreducible component of a toric Hilbert scheme is a not-necessarily-normal toric variety, and there are torus-fixed points



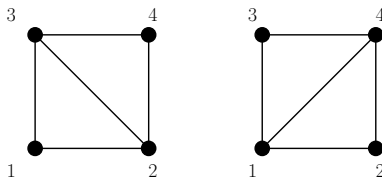


FIGURE 3. The two triangulations of Example 3.5

in the intersection of any two components. This means that the Hilbert scheme  $\text{Hilb}_S^h$  is connected if and only if its  $T$ -graph is connected. In [MT02] Maclagan and Thomas showed that two monomial ideals  $I, J$  in  $\text{Hilb}_S^h$  are connected by an edge in the  $T$ -graph if and only if either  $\Delta(\sqrt{I}) = \Delta(\sqrt{J})$ , or the two triangulations are connected by a bistellar flip.

- (c) In [San05], Santos showed that there is a configuration of 26 integer vectors in  $\mathbb{R}^6$  (so corresponding to a map  $\text{deg}: \mathbb{N}^{26} \rightarrow \mathbb{Z}^6$  with disconnected bistellar flip graph, and triangulations of the form  $\Delta(\sqrt{I})$  in two different connected components. This shows that this toric Hilbert scheme is disconnected.

**Find a smaller disconnected example!**

- (6) When the grading is positive ( $\text{rk } S_0 = 1$ ), every multigraded Hilbert scheme is a multigraded Hilbert scheme of points [HM12, Theorem 1.1].

This is a consequence of the construction of the Hilbert scheme.

For example, when  $\text{Hilb}_S^h = \text{Hilb}_P(\mathbb{P}^{n-1})$ , the set  $\{D, D+1\}$  is a very supportive set for  $D$  sufficiently large. There is then a bijection between the two sets:

- (a) {admissible ideals with Hilbert function  $h$ }, and
- (b) {admissible ideals with Hilbert function  $h$  in degrees  $\leq d+1$ , and Hilbert function 0 in degrees  $\geq D+2$ }.

The bijection takes an ideal  $I$  from the first set to  $I + S_{D+2}$ , and an ideal  $J$  from the second set to  $\langle J_{\leq D+1} \rangle$ .

This means that every positively graded multigraded Hilbert scheme also parameterizes collections of points (supported at the origin) invariant under a group action (dual to the grading group) with prescribed multiplicity of representations in the action on the coordinate ring of the affine scheme.

We conclude with some open questions.

- (1) When are multigraded Hilbert schemes irreducible? Smooth? How about when  $A = \mathbb{Z}^n/\mathbf{c}$ ? We expect this nice behaviour to be rare, but it might be tractable to understand when this occurs.
- (2) Find a smaller example of a disconnected multigraded Hilbert scheme.

- (3) Give explicit conditions for  $\text{Hilb}_S^h$  to be nonempty.

For example, if  $S$  has the standard grading, for any  $n$ , and  $h: \mathbb{Z} \rightarrow \mathbb{N}$  is given by  $h(1) = 0$ ,  $h(2) = 3$ , and any other choices for other  $d \in \mathbb{Z}$ , then  $\text{Hilb}_S^h$  is empty, as  $h(1) = 0$  implies that every variable is in any admissible ideal  $I$  with this Hilbert function§, and so we should have  $h(2) = 0$ .

In the standard graded case the condition for  $\text{Hilb}_S^h$  to be nonempty is given by Macaulay's theorem. Write

$$h(d) = \binom{m_d}{d} + \binom{m_{d-1}}{d-1} + \cdots + \binom{m_j}{j},$$

where  $m_d > m_{d-1} > \cdots > m_j \geq j \geq 1$ . This expression is unique.

Define

$$h(d)^{\langle d \rangle} = \binom{m_d + 1}{d + 1} + \binom{m_{d-1} + 1}{d} + \cdots + \binom{m_j + 1}{j + 1}.$$

Then  $h(d + 1) \leq h(d)^{\langle d \rangle}$ . This bound is sharp, and is achieved for all  $d$  by the lexicographic ideal, which is a canonical smooth point on the Hilbert scheme. See [Bru98, Chapter 4, §2] for more details on Macaulay's theorem.

The question is thus: What is the multigraded version of this story?

One difficulty in generalizing is given by the fact that lexicographic ideals do not exist for general multigradings: the set of monomials consisting of the lexicographically largest  $\text{rk}(S_a) - h(a)$  monomials in each degree  $a$  is not always the set of monomials in a monomial ideal, as it may not be closed under multiplication by variables. However in the case  $n = 2$ , in [MS10] it is shown that there is always a “lex-most” ideal (the largest in a partial order induced by the lexicographic order). Does this result extend to larger  $n$ ?

- (4) Give explicit constructions of supportive and very supportive sets.

In the standard graded case the Macaulay description for  $h(d)$  stabilizes for  $d \gg 0$ , and the Gotzmann number is the number  $j$  of binomial coefficients appearing in this description. Gotzmann's regularity and persistence theorems [Got78] [Bru98, Chapter 4, §3] imply that the set  $\{j\}$  satisfies conditions  $g$  and  $h'$ , and the set  $\{j, j + 1\}$  satisfy  $g$ ,  $h$ , and  $s$ . Generalize this!

## 4. EXERCISES

### 4.1. Basics of multigradings.

- (1) Consider the grading of  $S = \mathbb{C}[x, y]$  by  $\deg(x) = 1 \pmod{7}$ ,  $\deg(y) = 6 \pmod{7}$ . What is  $H_I$  for  $I = \langle x^4, xy, y^4 \rangle$ ? What about  $I = \langle x^3, y^3 \rangle$ ? Is the ideal  $\langle x^5, x^2y^2, xy^5 \rangle$  admissible?
- (2) Consider the grading of  $S = \mathbb{C}[x, y]$  by  $\deg(x) = 2$ ,  $\deg(y) = 3$ . Check that the zero ideal is admissible. What is  $H_I(d)$  for  $d \geq 0$ ?
- (3) Consider the grading of  $S = \mathbb{C}[x, y, z]$  by  $\deg(x) = (1, 2, 3)$ ,  $\deg(y) = (1, 0, 1)$ , and  $\deg(z) = (0, 3, 4)$ . Check that the zero ideal is admissible. What is  $H_I(\mathbf{u})$  for  $\mathbf{u} \in \mathbb{Z}^3$ ?

#### 4.2. Examples of Multigraded Hilbert Schemes.

- (1) Consider the grading of  $S = \mathbb{C}[x_1, x_2]$  by  $A$  given by  $\deg(x_1) = 2$ ,  $\deg(x_2) = 3$ . Consider  $h: \mathbb{Z} \rightarrow \mathbb{N}$  given by  $h(0) = h(2) = h(3) = h(4) = h(5) = h(6) = 1$ , and  $h(d) = 0$  for all other  $d$ . What is  $\text{Hilb}_S^h$ ?
- (2) With the same grading as in the previous question, consider  $h: \mathbb{Z} \rightarrow \mathbb{N}$  given by  $h(0) = h(1) = 1$ ,  $h(2) = h(3) = 2$ ,  $h(4) = h(5) = 3$ ,  $h(6) = 2$ , and  $h(d) = 0$  for all other  $d$ . What is  $\text{Hilb}_S^h$ ?

#### 4.3. Construction.

- (1) Verify the description of the universal family in the case  $S = \mathbb{C}[x, y]$  has the standard grading, and  $h(0) = 1$ ,  $h(1) = h(2) = 2$ ,  $h(3) = 1$ , and  $h(d) = 0$  otherwise.
- (2) Consider the grading  $\deg(x) = 2$ ,  $\deg(y) = 2$  of  $\mathbb{C}[x, y]$ , and the Hilbert function  $h(0) = h(2) = h(3) = h(4) = h(5) = h(7) = 1$ ,  $h(6) = h(8) = h(9) = h(10) = 2$ ,  $h(11) = 0$ ,  $h(12) = 2$ , and  $h(d) = 0$  otherwise.
  - (a) Find all monomial ideals with Hilbert function  $h$ .
  - (b) Find a supportive set for  $h$ . Find a very supportive set for  $h$ .
  - (c) Compute  $\text{Hilb}_S^h$ .
- (3) Consider the grading  $\deg(x) = 1$ ,  $\deg(y) = 2$  on  $\mathbb{C}[x, y]$ . Set  $h(4) = h(6) = 2$ . Compute  $\text{Hilb}_{S_{4,6}}^h$ .

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