

UNIVERSITY OF WARWICK

SENATE

Minutes of the meeting held on Wednesday 25 January 2012

- Present: Vice-Chancellor (in the Chair), Professor R Ball, Professor C Bates, Ms A Bell (until item 39/11-12), Mr L Boe (from item 24/11-12), Ms A Chambers, Professor S Croft, Professor A Czumaj, Professor C Dowson, Professor M Finn, Professor S Gilson, Professor S Hand, Professor N Hewlett (from item 26/11-12), Dr N Holdsworth, Professor Chris Hughes, Professor Christina Hughes, Dr C Jenainati, Professor N Johnson, Professor T Jones, Dr J Kidd, Mr P Krishnamurthy, Professor J Labbe (except for items 34/11-12 and 35/11-12), Professor K Lamberts, Professor G Lindsay, Professor J McCarthy, Professor A Muthoo, Professor A Norrie, Mr D Persaud (except for items 38 (b) and (c)/11-12), Professor A Reeve, Mr S Ruston, Professor M Shipman, Professor C Sparrow, Professor N Stallard (except for items 37 (c)/11-12 to 38/11-12), Professor S Swain, Professor M Taylor, Professor P Thomas, Mr S Williams.
- Apologies: Professor A Caesar, Professor J Davey, Professor S Jacka, Professor M Luddy, Dr D Roper, Professor S Stewart- Brown, Professor P Winstanley.
- In attendance: Acting Registrar, Academic Registrar, Director of Finance and Financial Strategy, Head of Corporate Governance (except for item 38 (e)/11-12), Head of Governance Support Services, Administrative Officer (Governance).

The Vice-Chancellor welcomed Mr Praveen Krishnamurthy and Professor Robin Ball to their first full meeting of the Senate.

21/11-12 Minutes

RESOLVED:

That the minutes of the meetings of the Senate held on 5 October 2011 and 20 December 2011 be approved.

22/11-12 Membership of the Senate

REPORTED: (by the Vice-Chancellor)

That, following the resignation of Mr Matthew Smalley as the student representative on 4 October 2011, Mr Praveen Krishnamurthy was co-opted onto the Senate as a student representative at the meeting of the Students' Union Council held on 24 October 2011.

23/11-12 Progress of Senate Recommendations

REPORTED:

- (a) That the Council, at its meetings on 13 October and 30 November 2011, approved the recommendations of the Senate recorded under the following items:
- (i) Amendments to University Ordinance 7 governing Boards of the Faculties, for the second time (minute 82/10-11 refers)
 - (ii) Amendments to University Ordinance 13 governing Degrees and Diploma, for the second time (minute 100(d)/10-11 refers)
 - (iii) Revocation of Degrees (minute 9(l)/11-12 refers)
 - (iv) Amendments to University Regulation 37 governing Taught Postgraduate Courses (minute 10(d)/11-12 refers)
 - (v) Establishment of a Sub-Faculty of Modern Languages and Reintroduction of Hispanic Studies (minute 11/11-12 refers)
- (b) That the Council, at its meeting on 13 October 2011, resolved in relation to the Higher Education White Paper – BIS Technical Consultation (minute 8(b)/11-12 refers):

That the Council strongly supported the submission, by the deadline of 27 October 2011, of a robust strategic response to the BIS Technical Consultation directly to the relevant Ministers in line with discussion at the meeting and that consideration be given to how best to engage lay members in the wider campaign in this regard, noting that the University's submission would be circulated to members.

24/11-12 Degree Congregation

REPORTED: (by the Vice-Chancellor)

- (a) That the Winter Degree Ceremonies were successful, with five ceremonies held over three days.
- (b) That he expressed his particular gratitude to the Degree Ceremony team in effectively managing the events, as well as the contributions of all the other participants.

25/11-12 Undergraduate Applications

REPORTED: (by the Vice-Chancellor)

- (a) That, following the UCAS deadline of January 15 2012, the University had experienced a reduction in Home/EU application numbers of 13.6%, a level slightly better than originally anticipated after a late increase in applications close to the deadline.
- (b) That Overseas applications had increased by 11.6%, and therefore on aggregate, overall applications had decreased by 8%.
- (c) That the reduction in applications was not a cause for concern, given that the fall could be largely explained by an overall increase in application standards across the University, with all undergraduate courses except one now requiring

A-level results of AAB or above.

- (d) That the University needed to retain some flexibility in achieving a balance in the overall student population.

(by the Academic Registrar)

- (e) That the student number planning process provided departments with the opportunity to discuss growth opportunities at a departmental level.

26/11-12 Appointment of the Registrar

REPORTED: (by the Vice-Chancellor)

- (a) That, further to the email notification sent to members of the Senate, Mr Ken Sloan had been appointed as the University's Registrar following the extraordinary meeting of Senate held on 20 December 2011.
- (b) That Ken Sloan's formal start date as Registrar was Monday 6 February 2012.

RESOLVED:

That the Senate extended its thanks to Ms Nicola Owen for undertaking the role of Acting Registrar prior to the appointment of the new Registrar.

27/11-12 University Librarian

REPORTED: (by the Vice-Chancellor)

- (a) That it was reported to the Steering Committee on 5 December 2011 that the University Librarian, Ms Anne Bell, has tendered her resignation to undertake the role of Librarian at Sydney University, Australia.
- (b) That Ms Anne Bell's last planned working day at Warwick would be Friday 27 January 2012, and that Mr Robin Green, currently the Deputy Librarian, would act up during the period until a new Librarian is appointed.
- (c) That he, on behalf of the Senate, extended his thanks to Ms Anne Bell for her contribution to the University and to the Senate and for the energy and commitment she has shown in enhancing and expanding the University's provision in this regard.

28/11-12 Higher Education Bill

REPORTED: (by the Vice-Chancellor)

That the Government had postponed the Higher Education Bill indefinitely.

29/11-12 Review of the School of Health and Social Studies

CONSIDERED:

A paper from the Working Group on the review of the School of Health and Social Studies (SHSS) inclusive of a number of recommendations and the establishment of an Implementation Working Group to consider the detail of the transfer of the

academic activities of SHSS, the financial implications, the HR dimensions of change, and also the question of the physical location of the academic activities (S.9/11-12).

REPORTED: (by the Pro Vice-Chancellor for Research (Arts and Social Sciences))

- (a) That the termination of any of the academic activity currently undertaken within SHSS was not expected.
- (b) That staff in SHSS had begun a discussion in early 2010/11 about the future direction of the School, and a number of strategic challenges were outlined in a letter sent from the Head and Deputy Head of SHSS to the Dean of Warwick Medical School (WMS) on 23 January 2011.
- (c) That the Steering Committee had agreed on 31 October 2011 to establish a University level Review Group to consider options in response to the strategic issues faced by SHSS, including no submission to the next REF under the UoA Social Work and Social Policy.
- (d) That group consultations were held with all staff in SHSS, and the Review Group produced a report that was considered within the governance structure of the University by appropriate committees as part of a full consultation.
- (e) That the initial recommendation of the Review Group was for the SHSS to be disestablished as a department in the Faculty of Social Sciences, and for the activities of the School to be integrated with those of WMS and, if appropriate, the Department of Sociology.
- (f) That an Implementation Group would consider the detail of the transfer of the academic activities of SHSS, the financial implications, the HR dimensions of change, and also the question of the physical location of the academic activities.

RESOLVED:

That the establishment of an Implementation Working Group as set out in paper S.9/11-12 be approved, with formal proposals expected to be brought forward for recommendation to the Senate and the Council in the Summer Term 2012.

30/11-12 Report from the Steering Committee

CONSIDERED:

A report from the Steering Committee (S.10/11-12 {parts 1 and 2}) and its resolutions recorded under the following items together with a report from the Vice-Chancellor:

- (a) Institutional Teaching and Learning Review Update

REPORTED: (by the Vice-Chancellor)

- (i) That thirty departmental reviews, forming the first stage of the Institutional Teaching and Learning Review, took place between 14 to 17 November 2011 following Senate's approval of the process at its meeting on 6 July 2011.

- (ii) That the institution-wide review of teaching, learning and the student experience was intended to take a holistic view of the University's educational offer; drive improvements in the teaching and learning experience; and facilitate the accurate provision of information for the QAA mid-term Audit Review, the full Institutional Audit and the Key Information Sets.
- (iii) That the Steering Committee was updated with further details of the progress of outcomes following the institution-wide Teaching and Learning Review, and had approved the publication of the departmental Institutional Review reports and responses on the Institutional Review website, to assist in the dissemination of good practice and in preparation for the next stage, the Faculty Engagements in the Spring Term 2012.

(by the Acting Registrar)

- (iv) That the Faculty Engagement would provide the fora to synthesise themes within faculties, share good practice and discuss issues experienced across departments e.g. joint degrees.

(b) Key Information Set: Publication of Technical Guidance and Further Information

REPORTED: (by the Vice-Chancellor)

- (i) That the Teaching Quality team was undertaking work to ensure the appropriate processes were in place for collection of data required within the Key Information Sets, and the QAA would review the provision of KIS data during the Institutional Audit during 2012.
- (ii) The first KISs were required to be published on institutions' websites by September 2012, and the Steering Committee noted that the HEFCE intended to audit selected institutions following the first publication of KIS, to assess the accuracy of the information included.

(c) Monitoring Return Guidance for the Widening Participation Assessments

REPORTED: (by the Vice-Chancellor)

- (i) That, the University's Access Agreement and Widening Participation Strategic Assessment (WPSA) Monitoring Return for 2010-11 were submitted to HEFCE and OFFA, prior to the deadline of 12 January 2012.
- (ii) That, in contrast to previous returns, institutions were not asked to report on the targets and milestones outlined within their WPSA.

(d) HEFCE Student Number Controls for 2012/13

REPORTED: (by the Vice-Chancellor)

- (i) That, the University had received a document from HEFCE setting out student number control limits for 2012-13, together with an invitation to bid for a share from the "margin" of up to 20,000 student places.

- (ii) That an assessment of the University's eligibility to bid was undertaken and Warwick's average fee level had rendered the University ineligible to participate in the bidding process as set out in (i) above.
- (iii) That the University's student number control limit had been reduced from 3,259 to 701, noting that there was no limit on the recruitment level of AAB students, and that the non AAB+ entry in 2011 was approximately 600 students, therefore, the related risk to the University appeared to be low at this stage.

(e) UCAS Admissions Process Review

REPORTED: (by the Vice-Chancellor)

- (i) That, as a result of its review of the current admissions system, UCAS had further proposed a post-qualifications undergraduate admissions system to try to address the perceived inaccuracy of predicted grades and improve opportunities for students from non-traditional backgrounds entering higher education.
- (ii) That the solution proposed by UCAS was not considered feasible by Russell Group members.

(f) UUK Policy Briefing on Student Finance: Changes to Payment of Tuition Fee Loans and Grants and Attendance Processing

REPORTED: (by the Vice-Chancellor)

- (i) That the University had received information on the changes to the payment and processing of tuition fee loans and grants, as of the 2012/13 academic year.
- (ii) That in order to receive instalments of tuition fee income, institutions would be required to provide positive confirmation of student attendance at the beginning of each term, and so the University's current processes would be reviewed in the light of the new Student Loan Company requirements.
- (iii) That negotiations had been challenging and had highlighted the risk to the cash flow of higher education institutions.

(g) Appointment of the Deputy Vice-Chancellor

REPORTED: (by the Vice-Chancellor)

- (i) That the Steering Committee had approved for recommendation to the Senate the process for selecting and approving the appointment of the Deputy-Vice-Chancellor, noting that this was the same process used for the appointment of the previous Deputy Vice-Chancellor.
- (ii) That, should the Senate support the process being undertaken as set out in paper SC.192/11-12, the Vice-Chancellor would recommend to the Council via correspondence that the post of Deputy Vice-Chancellor be filled and that the Senate be requested to establish a Search Committee

with the proposed membership, notwithstanding that set out in Ordinance 2.

- (iii) That, should both the Senate and the Council approve the proposals, it was proposed that applications would open on 26 January 2012 with a deadline of 3 February 2012, and that following a meeting of the Appointment Committee in the week commencing 13 February 2012, an extraordinary meeting of the Senate would be held the week commencing 20 February 2012, to be consulted on the proposed appointee, prior to the meeting of the Council on 23 February 2012, where formal approval would be sought.

RESOLVED:

That the process for selecting and approving the appointment of the Deputy Vice-Chancellor be approved.

- (h) Anti-Bribery Policy

REPORTED: (by the Vice-Chancellor)

- (i) That, the UK Bribery Act came into force on 1 July 2011, and covered the activities of UK institutions, as well as their agents and other third parties acting on their behalf.
- (ii) That the University was also expected to take a firm stance against bribery at the most senior level, and that a Working Group was established to consider the key risks to the University and how best these could be mitigated.
- (iii) That Steering Committee approved the draft Anti-Bribery Policy circulated to members, subject to minor revisions, and that the revised version was presented to the Senate for consideration and recommendation to the Council at its meeting to be held on 23 February 2012.

RECOMMENDED: (to the Council)

That the draft University Anti-Bribery Policy be approved, as set out in paper SC.187/11-12 revised.

- (i) USS Pension Scheme

REPORTED: (by the Vice-Chancellor)

- (i) That the USS Board finalised its proposals on the assumptions to be used for the triennial actuarial valuation as at 31 March 2011 of the Universities Superannuation Scheme (USS) to determine whether the scheme's assets were sufficient to cover its liabilities in pensions owed.
- (ii) That the USS Board's assumptions indicated a £2.9bn deficit in funding which was mostly due to changes in assumptions, such as increased longevity, and lower than expected investment returns.
- (iii) That it was noted that potential underfunding could be higher, given changes in the financial markets since 31 March 2011.

- (iv) That the University had previously noted that a Funding and Benefits joint working group of the USS JNC had been established in October 2011 to review matters relating to the future sustainability of the scheme.
- (v) That an update had been received from the Employers Pensions Forum noting that intensive discussions within the joint working group had produced a joint programme of work that will continue until the Autumn and;
 - (A) That a key part of the agreement that has been reached in principle with the UCU, but subject to endorsement, is that the UCU negotiators will recommend to its conference on 31 January 2012, a suspension of the current industrial action and dispute during the period that the group is working on the agreed programme of work and;
 - (B) That the EPF has undertaken to consult with Employers on a proposal to extend the right to take unreduced benefits on redundancy for a further year (to October 2014) by which time a review of this provision will have been undertaken and;
 - (C) That the EPF is seeking views from HEIs by 26 January 2012 but are recommending the concession on redundancy benefits for a further year to October 2014, agreement to which will require a further scheme rule change.
- (vi) That the USS JNC had approved the proposals for USS rule changes in relation to pensions taxation at its meeting on 12 January 2012 and these were subsequently approved by the USS Board.

(j) University Technical College

REPORTED: (by the Vice-Chancellor)

- (i) That the University had submitted on 17 January 2012 an application to the Department for Education to establish, in principle, the Warwick University Technical College (UTC), noting that this did not commit the University at this point to the establishment of the UTC, should the application be approved.
- (ii) That University Technical Colleges were new educational establishments for students aged 14 to 19 that would specialise in advanced technical studies and be sponsored by a university, with employers involved in shaping the curriculum to offer a broadly based general education to maximise progression routes for students.
- (iii) That the UTC would be a state-funded school which would be established as a company limited by guarantee, operating as an exempt charity, independent of the University and overseen by a Board of Governors (on which a representative from the University would sit).
- (iv) That it was proposed that the specialism for the Warwick UTC would be Engineering underpinned by digital technology as a cross-curricular enabler, and if approved, would open for the academic year 2014-15.

- (v) That proposed sites would be on, or in close proximity to, the campus and its facilities but a strong preference for the off-campus option had begun to emerge.
- (vi) That there were no financial implications of note for the University associated with the establishment of a UTC.

(k) Fees Working Group Report for Standard Fees

REPORTED: (by Professor R Ball)

- (i) That further consideration should be given to the fee status of Channel Island students from 2012/13 onwards.

(by the Academic Registrar)

- (ii) That a different fee status was attributed to Channel Island students as they are not in receipt of UK government funding.

- (l) Higher Education White Paper - BIS Technical Consultation document
- (m) OIA Pathway Consultation
- (n) HEFCE Consultation on Teaching Funding and Student Number Controls
- (o) Admissions and Recruitment Update
- (p) Research Data Management
- (q) University Strategy, Goal 1: Research and Scholarship
- (r) OFFA: Access Agreement and Widening Participation Strategic Assessment Monitoring: Outcomes for 2009-10
- (s) Fees Working Group Report for Non-Standard Fees
- (t) Early Student Statistics
- (u) UK Professional Standards Framework for Higher Education
- (v) Postgraduate Issues
- (w) HEFCE Business Plan 2011-15
- (x) Monash University
- (y) Higher Education Innovation Funding 2011-12 to 2014-15: Institutional Strategy
- (z) Major Research Proposal: ESRC Centres and Large Grants Competition 2011/12
- (aa) Research and Innovation Update
- (bb) Public Engagement with Research
- (cc) Institute of Advanced Study Update
- (dd) Government Innovation and Research Strategy
- (ee) QAA Consultation on Draft Guidance on Experiences of International Students
- (ff) Report from the International Office
- (gg) Royal Society Request for Immigration System Experiences
- (hh) Migration Advisory Committee Call for Evidence – Tier 2
- (ii) Erasmus For All
- (jj) National Student Survey Institutional Results
- (kk) University Annual Monitoring
- (ll) Teaching Quality Update
- (mm) Ofsted Consultation on Changes to the Framework for the Inspection of Initial Teacher Education
- (nn) Department for Education (DfE) Implementation Plan for Initial Teacher Training
- (oo) QAA Mid-Cycle Review

- (pp) Increasing Engagement with External Stakeholders
- (qq) Revolving Green Fund
- (rr) Degree Congregation Update
- (ss) University Risk Management Procedure
- (tt) Wilson Review of Business-University Collaboration
- (uu) Enhanced Facilities for Commuting Undergraduates
- (vv) Universities and the Regional Growth Fund
- (ww) Careers and Skills Update
- (xx) Capital Development and Space Management
- (yy) Equality and Diversity Update
- (zz) Single Equality Plan
- (aaa) Institute for Advanced Teaching and Learning Update
- (bbb) Human Resources Update
- (ccc) Equal Pay Review Levels 1a to 8
- (ddd) 2011/12 Pay Negotiations – Concluding Position of Unions
- (eee) Regulation 28 Governing University Intellectual Property Rights
- (fff) Health and Safety update
- (ggg) Schools Network
- (hhh) IT Update
- (iii) Proposal to Block Certain Websites
- (jii) Innovation: Health and Wealth (accelerating adoption and diffusion in the NHS).
- (kkk) QAA Consultation on the Quality Code: Section C – Information about Higher Education Provision
- (lll) Arrivals Weekend 2011
- (mmm) Postgraduate Deaneries
- (nnn) National Audit Office Consultation
- (ooo) QAA Expertise Database
- (ppp) Warwick in Africa
- (qqq) Queen’s Anniversary Prize for Higher and Further Education
- (rrr) Social Mobility and Child Poverty Review
- (sss) UCEA Update - Employment of Researchers
- (ttt) UCEA Update – Points Based System and Employment of External Examiners and Visiting Academics
- (uuu) NHS Commissioning Board
- (vvv) QAA Review of Higher Education in Further Education Colleges in England
- (www) Peer Review in Scientific Publications
- (xxx) QAA Consultation on Draft Guidance on Experiences of International Students
- (yyy) Review of Research Cost Relativities based on the Transparent Approach to Costing (TRAC)
- (zzz) The Independent Taskforce on Student Finance Information
- (aaaa) CASE Europe: Ethical Principles Behind the Acceptance of Gifts
- (bbbb) QAA OFFA: Revisions to Access Agreements for 2012/12 – Core and Margin
- (cccc) Arts and Humanities Research Council Block Grant Partnerships 2
- (dddd) National Audit Office Consultation on the UK Border Agency Points Based System
- (eeee) Chair-elect of the Technology Strategy Board
- (ffff) Plans for the National Student Survey 2012
- (gggg) Warwick Development Events in New York
- (hhhh) New York “Urban Sciences” Campus
- (iiii) UK Quality Code for Higher Education: Chapter B7: External examining
- (jjjj) Researcher Development Programme
- (kkkk) General Social Care Council Student Registration Consultation

- (llll) Review of the Charities Act 2006
- (mmmm) Government Consultation on Employment-related Settlement and Tier 5
- (nnnn) The Chancellor's Autumn Statement
- (oooo) Research Degree Programme Funding
- (pppp) Higher Education Copyright Licence
- (qqqq) REF – Reviewing Codes of Practice for Staff Selection
- (rrrr) The Woolf Report
- (ssss) QAA "Outcomes" Paper
- (tttt) Government e-infrastructure Investment
- (uuuu) Higher Education and Community Interaction Survey
- (vvvv) Qtr 1 End – ARC
- (wwww) The Regional Growth Fund
- (xxxx) Coventry House
- (yyyy) Leadership Foundation for Higher Education (LFHE) Annual Review 2010-11
- (zzzz) Lectures and Seminars
- (aaaaa) Library Café
- (bbbbb) JNCHES Sustainability Issues Working Group - Statement of Values
- (ccccc) David Willett's Speech – Higher Education Strategy
- (ddddd) Students' Union Activity
- (eeee) Major Research Proposals: EU FP7 Synergy Grant Applications

31/11-12 Report from the Academic and Quality Standards Committee

CONSIDERED:

A report from the Academic and Quality Standards Committee (S.11/11-12 {parts 1 and 2}) and its resolutions recorded under the following items, together with a report from the Pro-Vice-Chancellor (Education and Student Experience):

- (a) Special Examination Arrangements: Draft Policy on Examination Arrangements for Students with Disabilities

RESOLVED:

That the draft policy on Examination Arrangements for students with disabilities be approved, as set out in paper BUGS 11/11-12 of paper S.11/11-12.

- (b) QAA Mid-Cycle Review
- (c) PGT Grade Descriptors and Award of Merits
- (d) Collaborative Course Proposals
- (e) QAA Overseas Audit: Singapore
- (f) New and Revised Postgraduate Courses of Study

32/11-12 Report from the Information Policy and Strategy Committee

CONSIDERED:

A report from the Information Policy and Strategy Committee (S.12/11-12) and its resolutions recorded under the following items, together with a report from the Pro-Vice-Chancellor for Knowledge Transfer, Business Engagement and Research (Science and Medicine):

(a) Membership and Terms of Reference of IPSC

RESOLVED:

That the following revision to the Information Policy and Strategy Committee Terms of Reference be approved (additions underlined):

(....)

(e) To approve, after consultation with the Steering Committee and within the context of the overall University research, IT, library and information strategy:

- (i) strategies and policies for the development and management of teaching and learning information
- (ii) strategies and policies for corporate and business systems.
- (iii) strategies and policies for the University IT infrastructure, its operation and service standards.
- (iv) legal compliance policies and regulations regarding the use and security of information and data.

(....)

(b) Research Data Management

REPORTED: (by the Pro-Vice-Chancellor for Knowledge Transfer, Business Engagement and Research (Science and Medicine))

That the Research Data Management Working Group had met for the first time to determine the Group's objectives, and to discuss Research Councils' requirements for a strategy and road map of the University's Research Data Management, and would produce recommendations for Senate and Council in due course.

- (c) Proposal to Block Certain Websites
- (d) ISO 27001 Pilot Project
- (e) Proposed Policy for the Retention of University Owned IT Equipment by Leavers
- (f) Recommendation from the Board of Undergraduate Studies: Wireless Network

33/11-12 Report from the Intellectual Property Committee

CONSIDERED:

A report from the Intellectual Property Committee (S.13/11-12) and its resolutions recorded under the following items, together with a report from the Pro-Vice-Chancellor for Knowledge Transfer, Business Engagement and Research (Science and Medicine):

University Intellectual Property Regulation and Policy

REPORTED: (by the Pro-Vice-Chancellor for Knowledge Transfer, Business Engagement and Research (Science and Medicine))

- (a) That the Senate and Council approved in July 2011 the establishment, terms of

reference and constitution of the Intellectual Property Committee as a joint Committee of the Senate and the Council, and the main item for consideration at the Committee's first meeting held on 25 October 2011, was the revision of the existing Regulation 28 on Intellectual Property Rights governing the patenting and commercial exploitation of research results.

- (b) That the University's Legal Advisor and Research Support Services had both previously highlighted that the existing Regulation 28 does not make provision for a number of groups of potential creators of Intellectual Property (IP) and did not adequately cover certain circumstances in which disputes over ownership of IP might arise, for example, academic teaching materials.
- (c) That the University's Legal Advisor had commissioned SGH Martineau to consult on the revised draft of the policy, which was reviewed by Warwick Ventures Ltd (WVL), Human Resources, Research Support Services, the Academic Office and the Library.
- (d) That, following this review process, the redrafted Regulation was considered at the first meeting of the Intellectual Property Committee on 25 October 2011 (IPC.4/11-12 (revised)), the Steering Committee on 28 November 2011, and the Research Committee on 8 December 2011.
- (e) That he took Chair's Action on behalf of the Intellectual Property Committee to recommend the redrafted regulation, as set out in paper IPC.4/11-12 (revised), following consideration by the Steering Committee and the Research Committee.
- (f) That the revised Regulation clarified the position on ownership of IP generated by students and would reduce the potential for disputes.

(by Professor M Shipman)

- (g) That section 5 (e) (i) of the revised Regulation 28, regarding the requirement for creators of IP to notify the appropriate University Office or Department as set out in the University Financial Procedures at the earliest opportunity of any Creative Output they produce themselves or with others, would not always be practical and that this should be modified to require Creative Output that is potentially exploitable to be reported as soon as is practicable.

RECOMMENDED: (to the Council)

That the revised Regulation 28 Governing Intellectual Property Rights be approved as set out in IPC.4/11-12 (revised), to replace the current Regulation 28 in its entirety, subject to further revisions discussed at the meeting.

34/11-12 Report from the Research Committee

CONSIDERED:

A report from the Research Committee (S.14/11-12) and its resolutions recorded under the following items, together with a report from the Pro-Vice-Chancellor for Research (Arts and Social Sciences) and the Pro-Vice-Chancellor for Knowledge Transfer, Business Engagement and Research (Science and Medicine):

(a) REF Process

REPORTED: (by the Pro-Vice-Chancellor for Research (Arts and Social Sciences))

That departmental meetings were being held to discuss preparations for the REF, and that his thanks were extended to those colleagues involved in this process.

(b) Research Careers Concordat

REPORTED: (by the Pro-Vice-Chancellor for Research (Arts and Social Sciences))

That a working group or sub-committee would be established to ensure the ongoing implementation of the Research Careers Concordat.

(c) Research Income and Strategy

REPORTED: (by the Pro-Vice-Chancellor for Research (Arts and Social Sciences))

That the University needed to increase the number and size of grant applications, with a renewed focus on Research Council income where success rates were strong.

(d) Global Priorities Programme

REPORTED: (by the Pro-Vice-Chancellor for Research (Arts and Social Sciences))

That the Research Committee was expecting to further review the Global Priorities Programmes (GPPs) at their next meeting, as not all ten GPPs may continue in their current form.

(e) Research Data Management

(f) Research Equipment Sharing

(g) Research Council Funders

35/11-12 Report from the Research Ethics Committee

CONSIDERED:

A report from the Research Ethics Committee (S.15/11-12) and its resolutions recorded under the following items, together with a report from the Pro-Vice-Chancellor for Research (Arts and Social Sciences):

(a) Membership and Terms of Reference

REPORTED: (by the Pro-Vice-Chancellor for Research (Arts and Social Sciences))

That there remained vacancies in academic and external memberships on the Research Ethics Committee, for Council appointed members on the recommendation of the Senate, and that any nominations to fill these places

could be submitted to him with a view to the Vice-Chancellor recommending to the Council on behalf of the Senate.

- (b) Research Governance Project Plan
- (c) New Research Governance Website
- (d) Research Code of Conduct for the Investigation of Research Misconduct
- (e) Own Funded Research
- (f) Vision and Strategy of the Committee
- (g) Modification of the Biomedical Research Ethics Committee and the Humanities and Social Sciences Research Ethics Committee Terms of Reference
- (h) Periodic reports from the Sub-Committees

36/11-12 Report from the Academic Staff Committee

CONSIDERED:

A report from the Academic Staff Committee (S.16/11-12) and its resolutions recorded under the following items, together with a report from the Pro-Vice-Chancellor for Academic Resourcing:

- (a) Promotions Criteria – Professorial Fellows

RESOLVED:

That the amendments to the criteria for the consideration of promotion to Professorial Fellows be approved as set out in S.16/11-12.

- (b) Academic Career – Reinstatement of Reader nomenclature

RESOLVED:

That the reinstatement of nomenclature of Reader as opposed to Associate Professor (Reader) be approved.

RECOMMENDED: (to the Council)

That Regulation 4 Governing Annual Review by the Academic Staff Committee be amended to reflect the reinstatement of nomenclature of Reader as opposed to Associate Professor (Reader).

- (c) The use of the Professorial Title in the University

RESOLVED:

That future promotions to the level of Professorial Fellow and Professorial Teaching Fellow be permitted to use the title of Professor both within the University and externally.

37/11-12 Report from the Equality and Diversity Committee

CONSIDERED:

A report from the University Equality and Diversity Committee (S.17/11-12) and its resolutions recorded under the following items, together with a report from the Pro-Vice-Chancellor for Academic Resourcing:

Single Equality Act

RECOMMENDED: (to the Council)

That the revised Single Equality Act Scheme and Equality Objectives, as set out in papers EDC.03/11-12 and EDC.04/11-12 of paper S.17/11-12, be approved.

38/11-12 Core Partnership with Monash University

CONSIDERED:

A paper setting out the context of the current activity and development of a strategic alliance with Monash University (S.18/11-12).

REPORTED: (by the Vice-Chancellor)

- (a) That, following the Heads of Agreement being signed on 14 December 2011, it was evident that there was requirement to appoint a senior colleague to lead on the Warwick-Monash Alliance project to maintain momentum.
- (b) That a joint appointment was proposed for a Pro-Vice-Chancellor (Warwick-Monash Alliance), with a joint advertisement produced between both institutions.
- (c) That the carbon footprint of such an appointment had been considered, and it was likely that there would be extensive use of videoconferencing facilities.

RECOMMENDED: (to the Council)

- (a) That a Pro-Vice-Chancellor (Warwick-Monash Alliance) be appointed jointly between the University of Warwick and Monash University.
- (b) That, notwithstanding Ordinance 3, the composition of the Appointment Committee be augmented to include colleagues from Monash University in this particular case only, should the Council approve the recommendation that a Pro-Vice-Chancellor for the Warwick-Monash Alliance be appointed.

39/11-12 Queen Mary University

CONSIDERED:

A paper outlining the proposed development of a strategic partnership with Queen Mary University (QMU) London (S.19/11-12).

REPORTED: (by the Pro-Vice-Chancellor for Access, Widening Participation and Development)

- (a) That the University was looking to diversify the base of institutions with which it forms relationships.
- (b) That the relationship developing with QMU would prove useful in developing relationships with policy makers in London with regard to collaboration to promote Widening Participation.

- (c) That a significant number of colleagues from both Warwick and QMU had met to discuss how to further develop research collaboration between the institutions, and that areas of collaboration not previously considered had emerged as a result.
- (d) That facilities arrangements between Warwick and QMU were yet to be finalised, but possible opportunities were presented by the convenience of access to central London locations, including a new Graduate Studies building at QMU, campus based accommodation for specific programmes.
- (e) That staff development opportunities were not yet fully developed, but that shared resources between institutions were being explored to reduce transaction costs and to increase exposure to networking and opportunities in the other university.

(by Professor S Hand)

- (f) That the strategic partnership with QMU could prove complementary and beneficial in the recruitment of postgraduate students wishing to access facilities in London.

RESOLVED:

That the strategic alliance with Queen Mary, University of London, be endorsed.

Note: commercial in confidence until launch of partnership.

40/11-12 Revisions to University Ordinances and Regulations

CONSIDERED:

Proposed revisions to Ordinance 7 on the Constitution of the Boards of the Faculties incorporating the Heads of the Sub-Faculty of Modern Languages and Hispanic Studies within the membership of the Board of the Faculty of Arts with effect from the 2012/13 academic year (S.20/11-12).

RECOMMENDED: (to the Council)

That the proposed amendments to University Ordinance 7 on the Constitution of the Boards of the Faculties be approved with immediate effect as set out in S.20/11-12.

41/11-12 Awards and Distinctions

RECEIVED:

A paper setting out Awards and Distinctions that have been conferred upon members of the University since the last meeting of the Senate held on 5 October 2011 (S.21/11-12).

42/11-12 Report from the Board of the Faculty of Arts

RECEIVED:

A report from the Board of the Faculty of Arts (S.22/11-12) and its resolutions and recommendations recorded under the following items:

- (a) Representation of the Faculty on the Senate
- (b) Development of an Hispanic Studies Unit and a Sub-Faculty of Modern Languages

43/11-12 Report from the Faculty of Medicine

CONSIDERED:

A report from the Board of the Faculty of Medicine (S.23/11-12) and its resolutions and recommendations recorded under the following items:

- (a) Board of the Faculty of Medicine Membership.

RESOLVED:

- (i) That the following membership of the Board of the Faculty of Medicine in line with the completion of the re-structuring of the Medical School on the 1 August 2011 be approved, following the approval of the proposed revisions to the constitution of the Board of the Faculty of Medicine in Ordinance 7 as recommended by the Board at its meeting held in May 2011.

- (1) The Heads of Division for Biomedical Cell Biology, Health Sciences, Mental Health and Wellbeing, Metabolic and Vascular Health plus Reproductive Health.

Professor J Davey
Professor M Underwood
Professor S Singh
Professor V Zammit
Professor J Brosens

- (2) The Head of Educational Development and Research.

Dr J Kidd

- (3) Four senior academic staff from the Educational Development Team.

Ms J. Cooper (Acting Director)
Dr F. Griffiths
Dr E Lynch
MB ChB Director TBC

- (ii) That the following appointments of the Board of the Faculty of Medicine be approved.

- (1) Up to three co-opted members, one of whom shall be a member of staff of the Warwick Manufacturing Group, one of whom shall be a member of staff of the Warwick Business School and one of whom shall be a member of staff of the Department of Psychology, subject to the approval of the Senate.

Professor G Currie
Mr D Mullins
Professor E Maylor (term 1)
Professor D Wolke (terms 2/3)

- (2) Up to five co-opted members external to the University, nominated by the Faculty Board, and subject to the approval of the Senate.

Professor I. Philip
Professor S. Welch

- (b) Revisions to Regulation 8.10

RECOMMENDED: (to the Council)

That the revisions to Regulation 8.10 as set out in BFM15/10-11 {revised} be approved.

- (c) Development of an Hispanic Studies unit and a Sub-Faculty of Modern Languages

44/11-12 Report from the Faculty of Social Sciences

RECEIVED:

A report from the Board of the Faculty of Social Science (S.24/11-12) and its resolutions and recommendations recorded under the following items:

- (a) School of Health and Social Studies
- (b) Development of an Hispanic Studies Unit and a Sub-Faculty of Modern Languages
- (c) Membership and Terms of Reference
- (d) ESRC Warwick Social Sciences Doctoral Training Centre

45/11-12 Report from the Faculty of Science

RECEIVED:

A report from the Board of the Faculty of Science (S.25/11-12) and its resolutions and recommendations recorded under the following items:

- (a) Faculty Representatives on the Senate
- (b) Development of an Hispanic Studies Unit and a Sub-Faculty of Modern Languages

46/11-12 Report from the University Health and Safety Executive Committee

RECEIVED:

A report from the University Health and Safety Executive Committee (S.26/11-12) and its resolutions and recommendations recorded under the following items:

- (a) Governance of Health and Safety
- (b) University Genetic Modification and Biosafety Committee
- (c) University Health and Safety Committee

(d) National Perspective: the Government Response to the Lofstedt Report

47/11-12 Academic Statistics Yearbook 2011/12

RECEIVED:

The 2011/12 edition of the Academic Statistics Yearbook (S.27/11-12), noting that the Yearbook was available online at <http://www2.warwick.ac.uk/services/mip/businessinformation>.

48/11-12 Conferral of the Title of Emeritus Professor

REPORTED: (by the Vice-Chancellor)

That, he on behalf of the Senate, had approved the conferral of the title of Emeritus Professor on the following colleagues with effect from their retirement (given in brackets below):

Professor P Abrahams, Warwick Medical School (31 August 2012)

Professor E Jones, Life Sciences (30 September 2011)

Professor B Kershaw, Theatre, Performance and Cultural Policy Studies (31 October 2011)

Professor M Lord, Life Sciences (29 February 2012)

Professor A McFarlane, History (30 September 2011)

Professor J McGee, Warwick Business School (31 October 2011)

Professor A Paliwala, Law (31 August 2011)

Professor N Slack, Warwick Business School (31 December 2011)

49/11-12 Establishment of the Sub-Faculty of Modern Languages

REPORTED: (by the Vice-Chancellor)

That he, in accordance with the resolution at the meeting of the Senate held on 5 October 2011, and on behalf of the Senate, had recommended to the Council the establishment of a new Sub-Faculty of Modern Languages, including the creation of a Hispanic Studies unit, together with the resultant change to University Ordinance 7 on Sub-Faculties, following consultation with all four of the Boards of the Faculties.